Calorimetry at Future Particle Colliders – Technologies & Reconstruction Techniques

Peter Loch

Department of Physics University of Arizona Tucson, Arizona, USA



Preliminaries

This talk ...

... is not intended to give a comprehensive review on all possible scenarios for calorimeters at future colliders

... rather, it is intended to highlight the ability of present day and future calorimeters in the high performance reconstruction of physics observables that are important for the physics potential of detector systems at these machines

... has a focus on calorimeters at future proton colliders but will discuss some designs for e^+e^- colliders as well

... is informal!

Please ask questions – if I am not able to answer within the allotted time of this seminar, I am here for another two weeks

Also I am very happy discuss important topics relevant for higher energy/luminosity (hadron) colliders, like ...

... jet reconstruction – inputs, algorithms, calibration strategies, performance evaluations

- ... jet substructure and boosted object analysis
- ... missing transverse reconstruction

Roadmap for this Talk

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Introduction

General motivation for application of calorimeters @ future colliders Energy measurements with calorimeters – recalling the basics **Reconstructing** physics with calorimeters - segmentation **Calorimeters** at future e^+e^- colliders **Expectations** for operational environment Highly segmented imaging calorimeters – CALICE **Calorimeters at future proton colliders** Expectations for operational environment, long term survival Coverage, acceptance & signal processing Jet physics aspects

Conclusion

What I would do...



Pushing the Energy Frontier

Precision Higgs sector physics explorations

Predominantly at a future e^+e^- machine

See talk by Zhen Liu yesterday – standard model and exotic Higgs features

Calorimeters used for precision hadronic final state reconstruction

Interactions with jets and $E_{\rm T}^{\rm miss}$

Discovery physics at highest energies

Very relevant for hadron (proton) collider(s)

BSM particles decaying into SM particles with hadronic final state – high accessible mass scales introduce highly boosted decay products

Non-interacting new particles (SUSY, Dark Matter, ...) discovery

Calorimeters essential

High precision jet reconstruction

High resolution power for internal jet (sub)structure – tagging particle decays and jet flavors

Large η -coverage for precision $E_{\rm T}^{\rm miss}$ reconstruction

Motivation for calorimeters

Measure energy flow from charged and neutral particles

Fully inclusive detector for multi-purpose (collider) experiments

Relative energy resolution improves with increasing particle energy

 $\sigma/E = \sqrt{a^2/E + c^2}$ (ignoring noise for now...), with *a* the stochastic (shower/sampling induced) term and *c* the constant (intercalibration) term

Can be designed to provide $\sim 4\pi$ solid angle coverage around the interaction point

High acceptance/efficiency for reconstructing the full spectrum of possible final states – and $E_{\rm T}^{\rm miss}$

Provide long term operational stability

With the right technology choice for a given collision environment Can often significantly be upgraded without dismantling the detector (electronics, etc.)

Problems with Calorimeters

Limited direction resolution

High precision reconstruction of jet and particle kinematics need help from tracking detectors

At least for e.g. vertex assignments in hadron collisions

Limited energy flow resolution – separating prongs of energy flow subject to finite spatial resolution

Harder (2-3 prong) structures can be resolved for e.g. tagging of jets if jet $p_{\rm T}$ not too high...

Intrinsic feature introduced by interaction of particles with matter

Signal source "assignment"

Calorimeter sensitive to pile-up in hadron colliders

No deterministic handle of signal qualification

Can be mitigated by shape measurements in case of jets – stochastic jets, q/g tagging... back to spatial resolution limitations!

Principal Detector Features (1)

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Calorimeters measure energy

- Full absorption detectors convert deposited energy into signals
 - Based on particle interaction with matter electromagnetic and hadronic showers
 - Signal generation depends on particle type (usually only particles with $c\tau > 10$ mm in lab frame generate a signal)

| Particle | Principal signal source |
|------------------------|---|
| e ⁻ , p | $E_{\rm kin}$ (add mass to detector) |
| $e^+, ar{p}$ | $2E_{tot}$ (annihilation takes mass out of detector) |
| γ, π^{\pm}, K | <i>E</i> _{tot} (fully absorbed) |
| μ^{\pm} | $\int_{detector entrance}^{detector exit} dE/dx dx' \text{ (ionization energy loss only)}$ |

Not too much an issue for high particle energies!

Principal Detector Features (2)

Calorimeters measure energy

- Signals are proportional to the deposited
- energy
 - Represent the total deposited energy in
 - homogenous calorimeters (e.g. CMS ECAL) Represent a given fraction of the deposited
 - energy in sampling calorimeters
 - (e.g. ATLAS calorimeters, CMS HCAL)

EM energy resolution:

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$$\leftarrow \frac{\sigma}{E} \approx \frac{\mathcal{O}(1\%)}{\sqrt{E}} \oplus \mathcal{O}(0\%)$$
$$\leftarrow \frac{\sigma}{E} \approx \frac{\mathcal{O}(10\%)}{\sqrt{E}} \oplus \mathcal{O}(0\%)$$

Signal proportionality depends on particle type

- Linear for electrons, photons, and hadrons (ZEUS @ HERA) compensating calorimeter (e/h = 1)
- Linear for electrons, photons, non-linear (energy dependent) for hadrons (all LHC calorimeters) non-compensating calorimeters (e/h > 1)
- Muons typically do not generate signal proportional to their energy signal ~ independent of incoming energy ($e/\mu < 1$)

Principal Detector Features (3)

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10 GeV e⁻ entering

copper block

Calorimeters measure energy

Absorption volume depends on particle type Dense (compact) showers for electrons/photons

[mm] shower width [R_M] *y* [mm] 0 sin 20 20 -20-40 -60 60 -20*x* [mm] Geant4 -403 100 250 50 150 200 300 0 *z* [mm] لتتبليتنا mhadadadadadadadad 8 10 12 14 18 22 16 20

shower depth $[X_0]$

Principal Detector Features (4)

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Calorimeters measure energy

Absorption volume depends on particle type

Large (spread) showers for hadrons





Principal Detector Features (4)

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Reconstructing Physics

Segmented readout

Measuring kinematics

Sub-division into independently read out calorimeter cells provides space point for energy deposits – reconstruct

 $E \times (1, \sin \theta \cos \varphi, \sin \theta \sin \varphi, \cos \theta)$

with directions θ (η), φ with respect to a vertex (nominal, or as reconstructed with tracking detectors) and mass assumption (often m = 0)

Radial (transverse) segmentation sufficient for vertex-pointing geometries (CMS ECAL)

Particle identification

Longitudinal and radial segmentation helps to separate electrons, photons, ATLAS topological cell clusters and hadrons

Dynamic calibration for hadrons & jets

arXiv:1603.02934 [hep-ex] Signal shapes in highly granular readout drive calibration in noncompensating calorimeters – least-biased calorimeter-only signal reconstruction in complex particle flow

Particle Identification & Response (1)

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Shower profiles



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Particle Identification & Response (2)

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Kinematics Reconstruction

Lateral segmentation

Important for $p(p_T)$ reconstruction – in particular azimuthal resolution



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Energy (*p*_T) Flow Measurement

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Lateral segmentation

Energy/(transverse) momentum flow – (sub)structure resolution

> Coarse segmentation – limited structural information, limited physics interpretation (single parton/particle)



Energy (*p*_T) Flow Measurement



Energy (*p*_T) Flow Measurement



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Energy (p_T) Flow Measurement

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Projective readout geometry

Pointing to (nominal) vertex

Hadron collider: projective in (non-linear) flow coordinates (η , φ)

 e^+e^- collider: projective in (linear) spherical coordinates (θ, φ)

Effect of radial shower spread (pp collider)

Shower size ~ constant

Energy containment within cylinder around particle direction of flight (principal shower axis) – radius of cylinder material dependent but about constant (no strong energy dependence)

Energy sharing between cells in projective read-out geometry

Increases with increasing η – e.g. jet size collapses in linear spatial coordinates

Direction resolution/flow separation degrades if shower size \gg projective cell size – largely overlapping showers inside jets, jet size dominated by shower size

Particular problem in the very forward direction at e.g. LHC













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Less calorimeter volume needed to reconstruct overall kinematics and structure – less noise and reduced sensitivity to pile-up!

Local Response Corrections

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Calorimeters at future e⁺e⁻ colliders





Physics Environment

Expectations for operations

Low occupancy due to low cross section

Number of hard interactions/bunch crossing approximated by $\mu = \mathcal{L} \times \sigma_{ee \rightarrow qq} / (N_{\text{bunches}} \times f_{\text{CEPC}})$

For $\sigma_{ee \to qq}(\sqrt{s} = 250 \text{ GeV}) \approx 50 \text{ pb}, \mathcal{L} = 1.8 \times 10^{34} \text{ cm}^{-2} \text{s}^{-1}, N_{\text{bunches}} = 50 \text{ for } 600 \text{ Jm}$

50, $f_{\text{CEPC}} = 5591.66 \text{ Hz} \rightarrow \mu \approx 3 \times 10^{-6}$ (rate is about 0.8 Hz)

Typically low multiplicity final states – no (significant) pile-up expected

High resolution spectroscopy

Best performance expected with particle flow

Highly efficient matching of reconstructed track with calorimeter signals – shower by shower

High momentum (energy & direction) resolution for neutral particles

Best detector concept: imaging calorimeters

Pixel-like (small tile) readout granularity

High sampling frequency with high density absorber for highest shower separation and containment

CALICE for ILC

Various calorimeter designs under study

Probably a good starting point for circular collider as well



L. Xia, in Proc. of TIPP 2011 - Technology and Instrumentation in Particle Physics 2011, Physics Procedia 37 (2012) 410 – 420

Note – these are all sampling calorimeter options!

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CALICE for ILC



N. van der Kolk, in Proc. of 2015 IEEE Nuclear Science Symposium and Medical Imaging Conference (NSS/MIC 2015): San Diego, California, United States Also helps with hadronic shower tuning in Geant4!

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ILC Calorimeters

Reconstruction approaches in CALICE

Particle flow

Match ("pixelized") electron and charged hadron showers with reconstructed tracks – limitations in boosted scenarios?

Remove matched showers (pixels) from calorimeter signal

Signal weighting

W sampling calorimeters are non-compensating – use software signal weighting to correct for e/h > 1 locally or globally (c.f. H1, ATLAS...)

Possible degradation of performance

Boosted decays – confusion term in track/calorimeter matching, tracking resolution,...

Software weighting techniques may still work for high shower overlaps – but non-optimal resolution and response expected

Dual readout calorimeters

Compensating

Two different signals used for EM and HAD (e.g., Cerenkov and scintillating fiber)

My concerns: particle flow, possible segmentation, full coverage detector design...



Proton Collisions...





Does experimental environment require very different detectors?

Final states – extended phase spaces

Higher $p_{\rm T}$ of signal objects (leptons, photons and jets)

Boosted topologies of SM and BSM particle decays

Precision physics reconstruction at larger (pseudo)rapidities – VBF/VBS

Experimental backgrounds – pile-up

Technologies for suppression and correction

Signal significance – lowest reliable $p_{\rm T}$ measurement for physics

Long term detector survival in high radiation environment

This talk

Pile-up

Expectations for pile-up at a future high energy/high intensity collider Correction strategies at LHC and their effectiveness

High luminosity scenarios at $\sqrt{s} = 14$ TeV (LHC phase 2 upgrade)

Detector design considerations

Some shopping list from LHC experience

Calorimeter design guidelines

BSM Physics With VBF

Distinctive event topology

- Central (new) partial produced in longitudinal *WW* scattering
 - Mostly decays into 2-4 W and Z bosons easy to trigger leptonic final state
 - But large overlap with continuum
 - di/tri/quad-boson production
- Forward going tag (quark) jets
 - Indicate production mechanism $\Delta \eta$ gets larger with increasing particle mass and \sqrt{s}

Experimental challenge



Significant phase space overlap with pile-up jets

Fake BSM production by enhancing e.g. larger cross section gluonproduced *ZZ*

Suppression of pile-up jets using calorimeters

Jet shapes – quark/gluon tagging etc.

Pile-up

Expectations for 100 TeV pp collider

Physics driving pile-up

Inelastic proton-proton crosssection

Collider setup

Beam intensities (= instantaneous luminosity) Number of bunches

Frequency

Detector sensitivities

Visibility of pile-up affected by detector acceptance and resolution

Limits also signal sensitivity



(from talk given by Nicolo Cartiglia, INFN Turin at LISHEP 2013)

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Pile-up

Expectations for 100 TeV pp collider

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(from talk given by Nicolo Cartiglia, INFN Turin at LISHEP 2013)

$$\mu = \frac{L \times \sigma_{\text{inel}}}{N_{\text{bunches}} \times f} \Rightarrow$$

$$\mu(100 \text{ TeV}) \approx \frac{110}{70} \mu(8 \text{ TeV}) \approx 1.6 \mu(8 \text{ TeV})$$
Assuming a 100 TeV LHC at 2012 intensities and bunch crossing frequencies yields

 μ up to about 50

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Pile-up

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Expectations for 100 TeV pp collider

Physics driving pile-up

Inelastic proton-proton crosssection

Collider setup

- Beam intensities (= instantaneous luminosity) Number of bunches
- Frequency

Detector sensitivities

- Visibility of pile-up affected by detector acceptance and resolution
- Limits also signal sensitivity



Features of MB Particle Flow



Pile-up dominated by soft QCD

Use Pythia8 MB models to generate particle flow

Single collision features at particle level

Non-perturbative emissions generated by tuned parameterizations of (single and double) diffractive and non-diffractive models

Features important for detector signal reconstruction

Number density and transverse momentum flow

Scales with number of pile-up collisions μ (independent and diffuse emissions)

Average transverse momentum of particles

Independent of pile-up activity – on average same flow pattern for each collision

Transverse momentum area density

Scales with μ – important input for pile-up corrections

Acceptance limitations

ATLAS & CMS typically do not "see" neutral and charged particles with $p_{\rm T}$ < 500 MeV (simplification) – effect on features

Number Densities in MB





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vs [TeV]

Number Densities in MB





√s [TeV]

Number Densities in MB





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√s [TeV]

Transverse Momentum in MB

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Controlling Pile-up

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Present experiences in ATLAS & CMS

Pile-up significant in 2011 and 2012 running

> Significant effects especially on hadronic object reconstruction performance

Corrections needed to mitigate effects

Jet area based approaches used for calorimeter jets in both ATLAS & CMS

Track-based approaches take advantage of hard scatter vertex reconstruction (modified jet vertex fraction in ATLAS, charged hadron subtraction in CMS)



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(from talk given by Ariel Schwartzman (SLAC) at BOOST 2013) N_{PV} 7

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Pile-up signal representation

- Depends on detector (mostly calorimeter) technology
 - CMS highly granular EM and coarser HAD with (combined) few (2-3) longitudinal segmentation and fast shaped uni-polar readout little out-of-time pile-up
 - ATLAS highly granular EM and coarser HAD with 3-7 longitudinal segments and bi-polar readout with net zero integral considerable out-of-time pile-up helps with cancellation of in-time pile-up on average



(from talk given by Ariel Schwartzman (SLAC) at BOOST 2013)

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Basic Signal Definition Choices

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Particle flow and topological cell clustering

Topological cell clustering in ATLAS calorimeter attempts to reconstruct spatial energy flow

> No straight forward association of clusters with signal or pile-up – better in e.g. jet context

Particle flow signal in CMS combines tracking and calorimeter signal

> Use of track-cluster matching provides precise kinematics of charged hadrons in the regime of "good" track momentum resolution – sources of remaining calorimeter signals are estimated from shapes etc.



Performance Considerations

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Jet substructure

Highly granular calorimeters allow substructure analysis at small scales

Application of all recent jet grooming techniques is now part of many standard analyses

Pile-up is controlled

Modelled well enough & focus on observables little affected anyway But details of pile-up are often not modeled well especially outside of jets

(like for missing transverse momentum)



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Outlook on LHC Run II



Impressions for a Future Collider

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Not too concerned about pile-up

ATLAS & CMS like detectors

Studies with pile-up scenarios approaching 200 pp interactions per bunch crossing indicate both topological clusters and particle flow will work well

Small objects like electrons, photons, and muons are likely not much affected by pile-up even in a future high intensity environment – some attention needs to be paid to isolation for EM objects...

Mitigation techniques well advanced

Global corrections for large objects like jets well understood – substructure techniques developed and refined

Will need dedicated adjustments to detector specifics but so far deliver promising performance even in most intense pile-up at LHC

Loss of sensitivity to substructure

Focus on substructure observables not too affected by pile-up in terms of the structural analysis – kinematics still under study but techniques applied for resolved jets seem to be promising...

A few more thoughts...

Calorimeters (1)

Biggest concerns

Need to avoid tails in (jet) response to allow effective searches – avoids fake missing transverse momentum etc.

Most uniform response across the whole acceptance in pseudorapidity

Absorption characteristics

Depth for hadrons needs to accommodate O(10) TeV jets (energy) High energetic EM particles should be stopped in EM calorimetry – present day calorimeters may be a bit shallow...

Signal stability

Highly radiative environment may affect signal yield and proportionality to deposited energy

Need to focus on technology providing stable signals (within a few %) for decades

A typical jet with shower leakage





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Calorimeters (2)

Electron/photon energy response

- Excellent signal linearity and resolution possible even in the most hostile environments
- High energy resolution limit comparable to zero

Jet energy resolution

- Lower energies strongly affected by pile-up
- High energy limit affected by hadronic calibration – but few % («10%) possible



Calorimeter readout structure

High granularity

Lateral and longitudinal

Particle identification, jet substructure, pile-up suppression – c.f. CMS Phase-I upgrade of HCAL

Optimization of shower size versus (η, ϕ) projective readout – better resolution of intrinsic energy flow in jets

Absorption and signal formation

Ultra-fine readout

Resolve individual shower structures supports precise shower/track matching in particle flow techniques Typically expensive (tungsten absorbers, silicon pixel readout in large volumes) – cheaper with scintillator mini-tiles (CALICE)...



Calorimeter Signal Formation

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Shower size control

Small (lateral) shower extensions suppress coherent component of pile-up noise

Limited correlation range

Means very dense absorbers

Small sampling fraction – loss of energy resolution

Trade sampling fraction for sampling frequency to maintain resolution – digital calorimetry (e.g. for ILC) – lots of (thin) active layers instead of few (thick) ones

Compensation

Equalizing EM and HAD response is desirable...

C.f. ZEUS calorimeter reached intrinsic limit in hadronic energy resolution

...but relies on catching slow shower components...

Long signal integration times cannot be afforded in high pile-up conditions ...or suggests homogeneous calorimetry

Expensive in large scale applications (like BGO)

Not a big issue

We know how to dynamically calibrate hadronic signals – particle flow, local hadronic calibration of topological clusters

Shower Sizes

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Problem

Particle flow scales well in (η, φ) space

Individual particle showers in calorimeter ~cylinders with $R \approx 15$ cm (Cu/Fe) independent of direction (typical)

Best seen so far with W (ATLAS FCal) $R \approx 10$ cm – not too impressive, jet size at high pseudorapidity still smaller...

Solution?

Digital readout with dense absorber in forward region

Radiation concerns (W/Si) and (W/Scint)

Electron response (important?) highly suppressed

Low sampling fraction/high frequency

ATLAS FCal < 1% but high sampling frequency (O(10k) elecrodes in cylinder with 45 cm radius)

Very good high energy resolution

Stochastic fluctuations irrelevant (?)

But spatial resolution still not better than 0.2 x 0.2 to 0.4 x 0.4

Physics use cases

Need to collect a catalogue of physics final states to be studied with respect to detector performance

Not too limiting – need to be able to discover the "unknown unknown" together with the "known unknown"

Dynamic range of detector

Upper limit from kinematic limit – but what is the lowest energy object of interest?

Spatial resolution requirements for tracking and calorimetry

Experimental conditions

Suggestions for beam configurations

Beam energies, bunch spacing, instantaneous luminosities, pile-up, beam crossing angle, ...

Determines pile-up, radiation environment, detector survival requirements, ...

Radiation and survival

Predictions for radiation levels at various locations in the detector

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...



Developing detector design guidelines

Consider using ATLAS/CMS full simulation to study 100 TeV collisions

DELPHES etc. is nice, but has limited messages which may be severely misleading concerning detector performance and capabilities – both optimistic and pessimistic...

Needs significant help (and resources?) from the experiments – not easy with upcoming LHC Run II and upgrades

Maybe a exploratory study is possible – pile-up + lepton final state signal?

Simplify (homogenize) technologies

- Avoid complex transition regions in calorimetry as much as possible in particular between EM and HAD
- Finer readout at higher pseudorapidity only useful if shower size can be reduced...

Keep particle flow in mind right away

Matching geometries, applicable phase space, ...

Explore tracking in forward region

Helps with jet categorization, pile-up suppression, etc. – good physics case outside VBF/VBS based searches?

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First conclusions

Detector design

- ATLAS and CMS seem to be a very good starting point for a 100 TeV collider experiment but with larger cavities and extended (more forward) tracking
- Simplification and homogenization should be paramount design guideline "have calorimeter design will travel" was nice in the pioneering days of SSC and LHC but we should come up with detectors with easier to calibrate response characteristics – less transitions/boundaries introduce by technology choices!
- Details of trigger and readout need to be hammered out of course no "region of interest" triggers are probably non-optimal
- Missing transverse momentum reconstruction requires no azimuthal discontinuities and largest possible pseudorapidity coverage

Performance

- We learn(ed) a lot from LHC Run 1/2 significant progress from Tevatron days with respect to jet finding, calibration and feature measurements
- We have good tools for dynamic hadronic calibration, jet refinement and substructure reconstruction can help to finalize detector requirements (knowing the tools already now helps!)

Technologies (1)

Quick review:

Liquid argon (sampling calorimeter)

- Stable operation charge efficiency not affected by irradiation, can use radiation hard absorber and other materials
- Liquid argon boiling highest energy particles in forward direction, may limit absorber choices and require complex cooling systems
- Easy to segment but once designed segmentation is hard to change (reopening of large cryostat highly disfavored)
- Slow charge collection needs appropriate shaping function to reduce pile-up sensitivity Positive ion build-up in high ionization environments – thin gaps only in high occupancy (forward) regions



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Technologies (2)

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Quick review:

- Crystals (homogeneous calorimeter)
 - Not easy to segment how small can crystals be? Longitudinal segmentation?
 - Long term stability radiation damage a concern
 - Fast signal collection less sensitive to out-of-time pile-up
 - No sampling high resolution especially for EM particles
 - Can be replaced/changed readout geometry development supported?

Technologies (3)

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Quick review:

- Scintillator (sampling calorimeter)
 - Simple and stable mechanical design
 - Long term stability radiation damage a concern
 - Fast signal collection less sensitive to out-of-time pile-up
 - Good hadronic energy resolution not so great for EM particles
 - Can be replaced/changed readout geometry development supported?

Digital calorimeters (sampling calorimeters)