Testing the Non-Unitarity of the Leptonic Mixing Matrix at the CEPC

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Leptonic non-unitarity is a "smoking gun" signal of ("heavy") new physics in the neutrino sector to explain the observed neutrino masses



Circular lepton colliders like the CEPC are very sensitive to such signals, and can thereby help to clarify how to extend the Standard Model ...

Outline

Main part:

- Introduction: Origin of non-unitary lepton mixing, effective field theory treatment, phenomenological consequences
- Present constraints
- Improved sensitivities to leptonic non-unitarity at the CEPC

At the end of my talk I also like to make a quick comment ...

In on the motivation for SUSY at the SppC: "Predicting the sparticle spectrum from GUTs ..."

Neutrino masses: How to extend the SM?



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A comparatively model-independent consequence of new physics introduced to generate the observed neutrino masses: Non-unitarity of the leptonic mixing matrix ...



Examples with possible large non-unitarity: 'inverse' seesaw or 'multiple' seesaw at TeV energies, SUSY with R-parity violation, large extra dimensions, ...

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Lagrangian in the mass basis ...

kinetic term mass term interaction

$$\mathcal{L}^{eff} = \frac{1}{2} \left(\bar{\nu}_i i \,\partial \!\!\!/ \nu_i - \overline{\nu^c}_i m_i \,\nu_i + h.c. \right) - \frac{g}{2\sqrt{2}} \left(W^+_\mu \bar{l}_\alpha \,\gamma_\mu \left(1 - \gamma_5 \right) N_{\alpha i} \nu_i + h.c. \right) - \frac{g}{2\cos\theta_W} \left(Z_\mu \,\bar{\nu}_i \,\gamma^\mu \left(1 - \gamma_5 \right) \left(N^\dagger N \right)_{ij} \nu_j + h.c. \right) + \dots$$

+ modification in neutral current interaction in minimal schemes (MUV), to be explained later ...

... now when we change to the flavour basis:

non-canonical kinetic terms

$$\mathcal{L}^{eff} = \frac{1}{2} \left(i \, \bar{\nu}_{\alpha} \, \partial \hspace{-0.15cm} (NN^{\dagger})_{\alpha\beta}^{-1} \, \nu_{\beta} - \overline{\nu^{c}}_{\alpha} \left[(N^{-1})^{t} m N^{-1} \right]_{\alpha\beta} \nu_{\beta} + h.c. \right) \\ - \frac{g}{2\sqrt{2}} \left(W_{\mu}^{+} \, \bar{l}_{\alpha} \, \gamma^{\mu} \left(1 - \gamma_{5} \right) \nu_{\alpha} + h.c. \right) \\ - \frac{g}{2\cos\theta_{W}} \left(Z_{\mu} \, \bar{\nu}_{\alpha} \, \gamma^{\mu} \left(1 - \gamma_{5} \right) \nu_{\alpha} + h.c. \right) + \dots,$$

Non-unitarity of the leptonic mixing matrix corresponds to non-canonical kinetic terms in the flavour basis!

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There is a unique gauge invariant d=6 effective operator which leads to non-canonical kinetic terms only for the neutrinos:

$$\delta \mathcal{L}^{d=6} = c_{\alpha\beta}^{d=6} \left(\overline{L}_{\alpha} \tilde{\phi} \right) i \partial \left(\tilde{\phi}^{\dagger} L_{\beta} \right)$$

After EW symmetry breaking it results in a non-unitary leptonic mixing matrix with:

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$$|NN^{\dagger} - 1|_{\alpha\beta} = \frac{v^2}{2} |c^{d=6}|_{\alpha\beta}$$

De Gouvea, Giudice, Strumia, Tobe ('01), Broncano, Gavela, Jenkins ('02)

S.A., Biggio, Fernandez-Martinez, Gavela, Lopez-Pavon ('06)

+ modification of the NC interaction shown earlier ...

Non-unitary leptonic mixing in the MUV scheme

A minimal way to introduce neutrino masses and non-unitary leptonic mixing thus consists in adding a d=5 and a d=6 operator to the SM:

$$\mathcal{L}^{eff} = \mathcal{L}_{SM} + \delta \mathcal{L}^{d=5} + \delta \mathcal{L}^{d=6} + \dots$$

MUV scheme: Minimal Unitarity Violation

S.A., Biggio, Fernandez-Martinez, Gavela, Lopez-Pavon ('06)

Neutrino masses (violates L)

$$\delta \mathcal{L}^{d=5} = \frac{1}{2} c_{\alpha\beta}^{d=5} \left(\overline{L^c}_{\alpha} \tilde{\phi}^* \right) \left(\tilde{\phi}^{\dagger} L_{\beta} \right) + h.c.$$

Non-unitarity (conserves L)

$$\delta \mathcal{L}^{d=6} = c_{\alpha\beta}^{d=6} \left(\overline{L}_{\alpha} \tilde{\phi} \right) i \partial \left(\tilde{\phi}^{\dagger} L_{\beta} \right)$$

not necessarily suppressed by the smallness of the neutrino masses

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Generic scenario: SM + heavy neutral leptons

Integrating out the heavy neutral leptons (= sterile neutrinos) generates dominantly the two effective operators:



Neutrino mass operator (d=5)

$$\delta \mathcal{L}^{d=5} = \frac{1}{2} c_{\alpha\beta}^{d=5} \left(\overline{L^c}_{\alpha} \tilde{\phi}^* \right) \left(\tilde{\phi}^{\dagger} L_{\beta} \right) + h.c.$$

Effective (d=6) operator which modifies the kin. terms of the neutrinos

$$c_{\alpha\beta}^{d=5} = (Y_N^T)_{\alpha I} (M_N)_{IJ}^{-1} (Y_N)_{J\beta}$$

$$(m_{\nu})_{\alpha\beta} = -\frac{v_{\rm EW}^2}{2}c_{\alpha\beta}^{d=5}$$

$$c_{\alpha\beta}^{d=6} = \sum_{I} (Y_N^{\dagger})_{\alpha I} (M_N)_{II}^{-2} (Y_N)_{I\beta}$$

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EW interactions modified



$$\sum_{V_j} V_i \approx \left(N^+ N\right)_{ij}$$

Definition: Non-unitarity parameters

$$(NN^{\dagger})_{\alpha\beta} = (1_{\alpha\beta} + \varepsilon_{\alpha\beta})$$

(Hermitean matrix)

Theory predictions for various observables which involve weak interactions get modified!

Two comments ...

Within the MUV scheme, one can show that the off-diagonal nonunitarity parameters satisfy the following inequality:

$$|\varepsilon_{\alpha\beta}| \leq \sqrt{|\varepsilon_{\alpha\alpha}||\varepsilon_{\beta\beta}|}$$

S.A., E. Fernandez-Martinez, J. Baumann (arXiv:0807.1003)

This can provide an interesting consistency check of the MUC scheme!

Furthermore, the diagonal non-unitarity parameters are always ≤ 0 :

$$\varepsilon_{lpha lpha} \leq 0$$

Constraints on Leptonic Non-Unitarity: Most relevant processes

Electroweak precision observables (EWPOs)

Universality tests (at low E and via W decays)

Charged LFV decays

Electroweak precision observables

Remark: As usual, one expresses the predictions for the EWPOs in terms of the very well measured quantities

$$\alpha(m_z)^{-1} = 127.944(14) ,$$

 $G_F = 1.1663787(6) \times 10^{-5} \text{GeV}^{-2} ,$
 $m_Z = 91.1875(21) ,$

However: G_F is measured in muon decays ... and thus gets modified due to the non-unitary mixing N:

$$G^2_{\mu} = G^2_F (NN^{\dagger})_{\mu\mu} (NN^{\dagger})_{ee}$$

 \rightarrow affects many observables



Summary of modified EWPO predictions

Present constraints

Prediction in MUV	Prediction in the SM	Experiment
$[R_{\ell}]_{\rm SM} \left(1 - 0.15(\varepsilon_{ee} + \varepsilon_{\mu\mu})\right)$	20.744(11)	20.767(25)
$[R_b]_{\mathrm{SM}} \left(1 + 0.03(\varepsilon_{ee} + \varepsilon_{\mu\mu})\right)$	0.21577(4)	0.21629(66)
$[R_c]_{\mathrm{SM}} \left(1 - 0.06(\varepsilon_{ee} + \varepsilon_{\mu\mu})\right)$	0.17226(6)	0.1721(30)
$\left[\sigma_{had}^{0} ight]_{ m SM}\left(1-0.25(arepsilon_{ee}+arepsilon_{\mu\mu})-0.27m{\epsilon}_{_{ m TT}} ight)$	41.470(15) nb	41.541(37) nb
$[R_{inv}]_{\rm SM} (1 + 0.75(\varepsilon_{ee} + \varepsilon_{\mu\mu}) + 0.67 \epsilon_{\tau\tau})$	5.9721(10)	5.942(16)
$[M_W]_{\mathrm{SM}}(1 - 0.11(\varepsilon_{ee} + \varepsilon_{\mu\mu}))$	$80.359(11)~{ m GeV}$	$80.385(15) { m GeV}$
$[\Gamma_{\text{lept}}]_{\text{SM}}(1 - 0.59(\varepsilon_{ee} + \varepsilon_{\mu\mu}))$	$83.966(12) { m ~MeV}$	$83.984(86) { m MeV}$
$[(s_{W,\mathrm{eff}}^{\ell,\mathrm{lep}})^2]_{\mathrm{SM}}(1+0.71(\varepsilon_{ee}+\varepsilon_{\mu\mu}))$	0.23150(1)	0.23113(21)
$[(s_{W,\text{eff}}^{\ell,\text{had}})^2]_{\text{SM}}(1+0.71(\varepsilon_{ee}+\varepsilon_{\mu\mu}))$	0.23150(1)	0.23222(27)

S.A., O. Fischer (1407.6607)

Sensitivity via G_F!

Other sensitive probes: Universality tests

Consider ratios of observables of the form:

$$R_{\alpha\beta} = \sqrt{\frac{(NN^{\dagger})_{\alpha\alpha}}{(NN^{\dagger})_{\beta\beta}}} \simeq 1 + \frac{1}{2} \left(\varepsilon_{\alpha\alpha} - \varepsilon_{\beta\beta} \right)$$

	Process	Bound
$R^\ell_{\mu e}$	$\frac{\Gamma(\tau \to \nu_\tau \mu \bar{\nu}_\mu)}{\Gamma(\tau \to \nu_\tau e \bar{\nu}_e)}$	1.0018(14)
$R^\ell_{ au\mu}$	$\frac{\Gamma(\tau \to \nu_{\tau} e \bar{\nu}_e)}{\Gamma(\mu \to \nu_{\mu} e \bar{\nu}_e)}$	1.0006(21)
$R^W_{e\mu}$	$\frac{\Gamma(W \to e\bar{\nu}_e)}{\Gamma(W \to \mu\bar{\nu}_\mu)}$	1.0085(93)
$R^W_{ au\mu}$	$\frac{\Gamma(W \to \tau \bar{\nu}_{\tau})}{\Gamma(W \to \mu \bar{\nu}_e)}$	1.032(11)



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Constraints from LFV

Bounds on LFV μ and τ decays $I_i \rightarrow I_j \gamma$ (and on $\mu \rightarrow 3e$ and $\mu \rightarrow e$ conversion in nuclei) lead to constraints on the $\epsilon_{\alpha\beta}$:



$$\frac{\Gamma(\ell_{\alpha} \to \ell_{\beta} \gamma)}{\Gamma(\ell_{\alpha} \to \nu_{\alpha} \ell_{\beta} \overline{\nu}_{\beta})} = \frac{3\alpha}{32\pi} \frac{|\sum_{k} N_{\alpha k} N_{k\beta}^{\dagger} F(x_{k})|^{2}}{(NN^{\dagger})_{\alpha \alpha} (NN^{\dagger})_{\beta \beta}}$$

irrelevant for unitary mixing matrix, but can lead to sizable Br's for non-unitary N!

$$F(x) \equiv \frac{10 - 43x + 78x^2 - 49x^3 + 4x^4 + 18x^3 \ln x}{3(x-1)^4}$$

where:

$$x_k \equiv m_k^2/M_W^2$$

m_k: light neutrinos' masses

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Present constraints

S.A., O. Fischer (1407.6607)

We performed a global MCMC fit of the six MUV parameters

$$\boldsymbol{\varepsilon}_{ee}, \, \boldsymbol{\varepsilon}_{\mu\mu}, \, \boldsymbol{\varepsilon}_{\tau\tau}, \, |\boldsymbol{\varepsilon}_{e\mu}|, \, |\boldsymbol{\varepsilon}_{e\tau}|, \, |\boldsymbol{\varepsilon}_{\mu\tau}|$$

to the most relevant 34 observables (including also tests of CKM matrix unitarity, ...).

Results of a global fit to the present data

S.A., O. Fischer (1407.6607)

Highest posterior density intervals at 90% Bayesian C.L.:



 \rightarrow treated as constraints

constraints from charged LFV

Results of a global fit to the present data

S.A., O. Fischer (1407.6607)

Highest posterior density intervals at 90% Bayesian C.L.:



How much can the CEPC improve the sensitivity to leptonic non-unitarity?

CEPC: Very sensitive tests of universality with W decays



- Blue line: Present constraints
- Orange line: Planned exprimental sensitivity of universality tests at low E [MOLLER, TRIUMF, PSI, NA62, Tau/Charm factories]
- Green line: Sensitivity (statistical uncertainties only) with 10⁸ W bosons [as possible at the CEPC]

universality tests probe the differences between the $\varepsilon_{\alpha\alpha}$

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CEPC: Greatly improved precision for EWPOs

With 10¹¹ Z bosons produced at CEPC

Observable	LEP precision	CEPC CDR
$M_W [{ m MeV}]$	33	3
$\sin^2 heta_W^{ ext{eff}}$	0.07%	0.01%
R_b	0.3%	0.08%
R_c	0.3%	0.07%
R_{inv}	0.27%	8.9×10^{-4}
R_{ℓ}	0.1%	0.1%
Γ_{ℓ}	0.1%	0.1%
σ_h^0 [nb]	8.9×10^{-4}	1×10^{-4}

Values taken from the CEPC pre-CDR where available (otherwise estimates were used)

Sensitivities of future EWPO measurements



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Summary

Non-unitarity of the leptonic mixing matrix is a generic (and rather model-independent) consequence of new physics in the neutrino sector to generate the observed neutrino masses.

Effective field theory: MUV ("Minimal Unitarity Violation")
 represents a large class of models

> EWPOs and universality tests at the CEPC (with pre-CDR parameters) can probe leptonic non-unitarity with very high sensitivity (i.e. sums and differences of $\varepsilon_{\alpha\alpha}$ up to O(10⁻⁴)).

... as announced, I have a few more slides 🗲

A different topic (in 2 slides):

Comment on the motivation for SUSY at the SppC

Predicting the SUSY spectrum from GUTs

► GUTs not only predict gauge coupling unification, but also unification of quarks and leptons in joint multiplets at high energy → Predictions for the Yukawa coupling ratios, e.g. y_T/y_b ,= 1 or 3/2, y_μ/y_s = 3, 9/2 or 6, y_e/y_d = $\frac{1}{2}$ or $\frac{1}{3}$

See e.g.: Georgi, Jarlskog ('79), S.A., Spinrath ('09) S.A., King, Spinrath ('13)





 Performing the RG running to low energies and including the <u>SUSY</u> <u>threshold corrections</u>, the predictions can be compared to the experimental data on quark and lepton masses
 Link to the sparticle spectrum



Thanks for your attention!

Extra slides

Future sensitivities to the $\varepsilon_{\alpha\beta}$ from LFV

Estimated sensitivities of planned experiments at 90% C.L.:

Process	MUV Prediction	Bound	Sensitivity
$Br_{ au e}$	$4.3 imes10^{-4}arepsilon_{ au e}arepsilon^2$	10 ⁻⁹	$arepsilon_{ au e} \geq 1.5 imes 10^{-3}$
$Br_{ au\mu}$	$4.1 imes 10^{-4}arepsilon_{ au\mu}arepsilon^2$	10^{-9}	$arepsilon_{ au\mu} \geq 1.6 imes 10^{-3}$
$Br_{\mu eee}$	$1.8 imes 10^{-5}ertarepsilon_{\mu e}ert^2$	10^{-16}	$arepsilon_{\mu e} \geq 2.4 imes 10^{-6}$
$R_{\mu e}^{Ti}$	$1.5 imes 10^{-5}arepsilon arepsilon_{\mu e}arepsilon^2$	$2 imes10^{-18}$	$arepsilon_{\mu e} \geq 3.6 imes 10^{-7}$

Note: A measurement of LFV would guarantee non-zero $\varepsilon_{\alpha\alpha}$

S.A., O. Fischer (1407.6607)

> Also very sensitive at the CEPC: cLFV Z decays $Z \rightarrow I_1 I_2$

cf. Abada, Dr Romeri, Monteil, Orloff, Teixeira (1412.6322)

Possible sensitivity also to the phases of $\varepsilon_{\alpha\beta}$ at a neutrino factory



Interplay of (tau-sensitive) near and far detectors could provide information on the phase of the MUV parameters $\varepsilon_{\tau\mu}$ and $\varepsilon_{\tau e}$ (i.e. on the phases of - $\theta_{\tau}^* \theta_{\mu}$ and - $\theta_{\tau}^* \theta_{e}$)

S.A., M. Blennow, E. Fernandez-Martinez, J. Lopez-Pavon (arXiv:0903.3986)

Example: Precision vs. direct tests in the "Symmetry Protected Seesaw Scenario (SSMS)"

cf.: S.A., O. Fischer (arXiv:1502.05915)

Sterile neutrinos with masses ~ EW scale

Similar: "inverse" seesaw, "linear" seesaw

Symmetry Protected low scale Seesaw Scenario (SSPS)



In the symmetry limit: $\mathscr{L}_{N} = - \overline{N_{R}}^{1}M N_{R}^{c}^{2} - y_{\alpha}\overline{N_{R}}^{1}\widetilde{\phi}^{\dagger}L^{\alpha} + \text{H.c.}$ $+ \text{ possible additional "decoupled" sterile neutrinos, which do not mix with the active neutrinos in the symmetry limit$

E.g.:

$$M_N = \begin{pmatrix} 0 & M \\ M & \mu \end{pmatrix}$$

Minimal: Two sterile neutrinos forming a pseudo-Dirac pair

\rightarrow Assumption: The two sterile neutrinos $N_R{}^1$ and $N_R{}^2$ dominate phenomenology

Sterile neutrinos with masses ~ EW scale

<u>Symmetry Protected</u> <u>low scale Seesaw</u> <u>Scenario (SSPS)</u>



$$M_N = \begin{pmatrix} 0 & M \\ M & \mu \end{pmatrix}$$

Parameters: M, y_{α}, (α =1,2,3) or equivalently M, θ_{α} , (α =1,2,3) In the symmetry $\mathscr{L}_{N} = - \overline{N_{R}}^{1} M N_{R}^{c^{2}} - y_{\alpha} \overline{N_{R}}^{1} \widetilde{\phi}^{\dagger} L^{\alpha} + \text{H.c.}$ limit:

The leptonic mixing matrix to leading order in the active-sterile mixing parameters:

$$U = \begin{pmatrix} \mathcal{N}_{1e} & \mathcal{N}_{1\mu} & \mathcal{N}_{1\tau} & -\frac{i}{\sqrt{2}}\theta_e & \frac{1}{\sqrt{2}}\theta_e \\ \mathcal{N}_{2e} & \mathcal{N}_{2\mu} & \mathcal{N}_{2\tau} & -\frac{i}{\sqrt{2}}\theta_\mu & \frac{1}{\sqrt{2}}\theta_\mu \\ \mathcal{N}_{3e} & \mathcal{N}_{3\mu} & \mathcal{N}_{3\tau} & -\frac{i}{\sqrt{2}}\theta_\tau & \frac{1}{\sqrt{2}}\theta_\tau \\ 0 & 0 & 0 & \frac{i}{\sqrt{2}} & \frac{1}{\sqrt{2}}\theta_\tau \\ -\theta_e^* & -\theta_\mu^* & -\theta_\tau^* & \frac{-i}{\sqrt{2}}(1-\frac{1}{2}\theta^2) & \frac{1}{\sqrt{2}}(1-\frac{1}{2}\theta^2) \end{pmatrix}$$

Active-sterile neutrino mixing parameters:

$$heta_{lpha} = rac{y_{lpha}}{\sqrt{2}} rac{v_{\mathrm{EW}}}{M}, \qquad lpha = e, \mu, au$$

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Similar: "inverse" seesaw, "linear" seesaw

At low energy: Relation to parameters of the effective theory (MUV)

	$y_{ u_lpha}$	$ heta_{lpha}$	$arepsilon_{lphaeta}$
$y_{ u_{lpha}} =$	_	$rac{\sqrt{2}M}{v_{ m EW}} heta_{lpha}^{*}$	$-rac{\sqrt{2}M}{v_{ m EW}}arepsilon_{etalpha}/\sqrt{-arepsilon_{etaeta}}$
$\theta_{lpha} =$	$-rac{v_{ m EW}}{\sqrt{2}M}y_{ u_lpha}$	_	$-arepsilon_{etalpha}/\sqrt{-arepsilon_{etaeta}}$
$\varepsilon_{lphaeta}$ =	$-rac{v_{ m EW}^2y_{ ulpha}^*y_{ u_eta}}{2M^2}$	$(- heta_{lpha}^{*} heta_{eta})$	

Comparison: Non-unitarity (precision) vs direct probes



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