

Corners Scattering and Inverse Scattering

Jingni Xiao

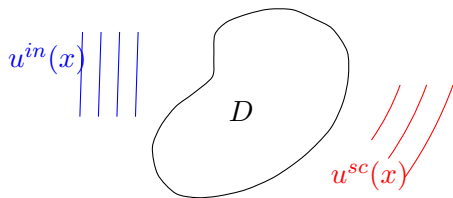
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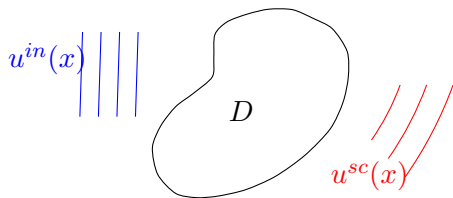
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- 2 Corner of Media Scatters
- 3 Applications
 - Shape Determination
 - Approximation by Herglotz Wave Functions
- 4 Sketch of the Proof
- 5 Corner of Sources Scatter - EM case
- 6 Concluding remarks

Scattering

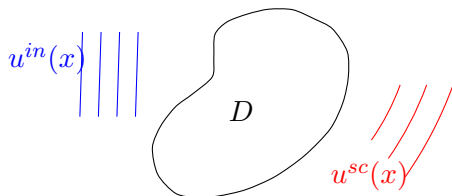


Scattering



- Inverse scattering
- Invisibility

Scattering

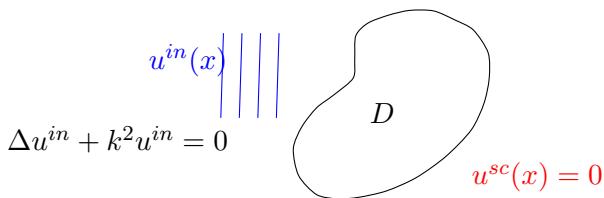


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Question: $\exists \{[D; u^{in}]\},$

s.t. $u^{sc} \equiv 0$ in D^c (or equiv., $u_\infty \equiv 0$) ?

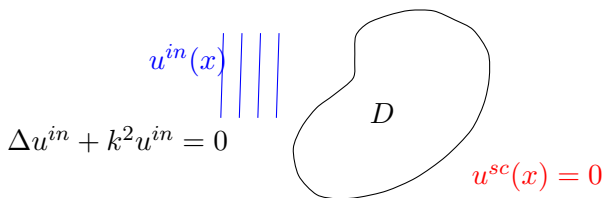
A resulting problem



- Interior Transmission Eigenvalue Problem

$$\begin{aligned}
 \nabla \cdot a \nabla u + k^2 c u &= 0, & \Delta v + k^2 v &= 0, & \text{in } D, \\
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- Equivalence?

Some known result

Certain shapes: invisible under **certain** probing waves:

- Spherically stratified media: [Colton-Monk '88]

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$\Delta + k^2 q$: [Blåsten-Päivärinta-Sylvester '14,
Päivärinta-Salo-Vesalainen '17, Elschner-Hu '15&'18,
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Maxwell's equations: [Liu-X. '17 (Right corner)]

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Cloaking: invisible for **all** probing fields

- **Anisotropic and singular** medium [Greenleaf-Lassas-Uhlmann '03, etc.]

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The scattering problem

Consider the scattering problem

$$\nabla \cdot a \nabla u + k^2 c u = 0 \quad \text{in } \mathbb{R}^n,$$

$$\Delta u^{in} + k^2 u^{in} = 0 \quad \text{in } \mathbb{R}^n,$$

$$\hat{x} \cdot \nabla u^{sc} - i k u^{sc} = o(|x|^{\frac{n-1}{2}}), \quad |x| \rightarrow \infty.$$

where $a, c \in L^\infty(\mathbb{R}^n)$, $a = (a_{ij})$ symm. and positive definite, and $a - I$ and $c - 1$ compactly supported,

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Corner scattering:

- Convex corner(s) at the support of $a - I$ or/and that of $c - 1$;
- **Around the corner**, a is **locally** $W^{3,1+\varepsilon}$ and scalar, and/or c is **locally** $W^{1,1+\varepsilon}$.

Corner Scatters

Theorem 1 ([Cakoni-X '19])

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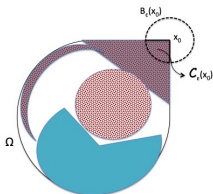


Figure: dotted: $\text{supp}(c - 1)$; colored: $\text{supp}(a - 1)$.

$(\rho(x) - 1)\gamma^{-1/2}(x) = \rho_0 + O(|x - x_0|^\sigma)$, $(\gamma(x) - 1)\gamma^{-1/2}(x) = O(|x - x_0|^{2+\sigma})$,
 where $\rho_0 = \text{const} \neq 0$, $(\gamma, \rho)|_{C_\varepsilon(x_0)} = (a, c)|_{C_\varepsilon(x_0)}$ in $W^{3,1+\varepsilon} \times W^{1,1+\varepsilon}$.

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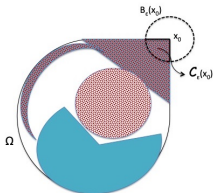


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Generalization of the previous results concerning the operator $\Delta + k^2q$.

Corner Scatters

Other cases:

- **Corners of $c - 1$** with a jump across the corner ($\rho_0 \neq 0$).
- If $a - 1$ vanishes to the first order at the same corner, i.e., $(\gamma(x) - 1)\gamma^{-1/2}(x) = O(|x - x_0|^{1+\sigma})$, then the corner scatters all incident fields u^{in} for which $N_T u^{in} = N_T \nabla u^{in}$.

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 - If $a - 1$ vanishes at the corner, i.e., $(\gamma(x) - 1)\gamma^{-1/2}(x) = O(|x - x_0|^\sigma)$, then the corner scatters all incident fields u^{in} satisfying $u^{in}(x_0) \neq 0$ and $\nabla u^{in}(x_0) = 0$.

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- **Corners of $a - 1$** with a jump across the corner, i.e., $(\gamma(x) - 1) \gamma^{-1/2}(x) = \gamma_0 + O(|x - x_0|^\sigma)$ with $\gamma_0 = \text{const} \neq 0$. If the corner is of **aperture $\kappa\pi$** , $\kappa \in (0, 1)$, then it scatters all incident fields u^{in} for which $N_T \nabla u^{in} \neq \kappa l - 1$ with some $l \in \mathbb{N}_+$.
e.g.: $\nabla u^{in}(x_0) \neq 0$.

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Shape Determination

Recall: forward scattering:

$$\nabla \cdot a \nabla u + k^2 c u = 0 \quad \text{in } \mathbb{R}^n,$$

where $a - I$ and $c - 1$ are compactly supported and $u = u^{in} + u^{sc}$ with u^{in} the incident field and u^{sc} the scattered field.

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The inverse problem: To uniquely determine the convex hull of $c - 1$ or/and $a - 1$ from the far-field measurement u^∞ or from the near-field (scattered) measurement $u^{sc}|_{\partial B_{2R}}$.

Unique determination from a single measurement

Assumptions (roughly):

- 1 The convex hull D of $\text{supp}(c - 1)$ is a bounded polygon, $\text{supp}(a - I) \subseteq\subseteq D$.
- 2 Local regularity of c and a ($W^{1,1+\varepsilon}$ and $W^{3,1+\varepsilon}$) around corners of D .
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Theorem 2 (Cakoni-X '19)

D can be uniquely determined from the far-field (or scattered) data u^∞ corresponding to a *single incident field*.

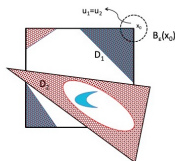


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ITEP and Herglotz functions

Interior transmission eigenvalue problem:

$$\begin{aligned} \nabla \cdot a \nabla u + k^2 c u &= 0, & \Delta v + k^2 v &= 0, & \text{in } D, \\ u &= v, & a \partial_\nu u &= \partial_\nu v, & \text{on } \partial D. \end{aligned}$$

Herglotz wave functions:

$$v_g(x) = \int_{\mathbb{S}^{n-1}} g(d) e^{ikx \cdot d} ds_d, \quad g \in L^2(\mathbb{S}^{n-1}).$$

Fact ([Cakoni-Colton-Haddar '16 (Book), etc.]): the set of Herglotz wave functions is dense in

$$\{v \in H^1(\Omega) : \Delta v + k^2 v = 0 \quad \text{in } \Omega\}.$$

Blow-up of the kernel

To approximate v (eigenfunctions) by v_g .

Question: Do the Herglotz kernels g_ε keep bounded when $v_{g_\varepsilon} \rightarrow v$?

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Lemma 1 (Cakoni-X. '19)

When the support of $c - 1$ or/and $a - I$ has a corner, and a and c satisfies (roughly) the local conditions at the corner, then

$$\limsup \|g_\varepsilon\|_{L^2(\mathbb{S}^1)} = \infty$$

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If non-scattering happens

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A resulting **local problem at the corner**:

$$\begin{aligned} \nabla \cdot \gamma \nabla u + k^2 \rho u &= 0, & \Delta v + k^2 v &= 0, & \text{in } \mathcal{C}_\varepsilon, \\ u &= v, & \gamma \partial_\nu u &= \partial_\nu v, & \text{on } \partial \mathcal{C}_\varepsilon \setminus \mathcal{K}_\varepsilon. \end{aligned}$$

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An integral identity:

$$\int_{\mathcal{C}_\varepsilon} (\gamma - 1) \nabla v \cdot \nabla w - k^2 (\rho - 1) v w \, dx = \int_{\mathcal{K}_\varepsilon} \gamma \partial_\nu w (v - u) - w (\partial_\nu v - \gamma \partial_\nu u) \, ds$$

for any solution w to

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Later: asymptotic analysis of the integrals.

CGO solutions

$$\nabla \cdot \gamma \nabla w + k^2 \rho w = 0 \quad \text{in } \mathbb{R}^n.$$

Solutions of the form

$$w = w_\tau = \gamma^{-1/2}(1 + r(x))e^{\eta \cdot x}, \quad (4.1)$$

with $\eta = -\tau(d + id^\perp)$ and $d, d^\perp \in \mathbb{S}^{n-1}$ satisfying $d \cdot d^\perp = 0$.

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Proposition 1 (Cakoni-X, '19)

(In short) Given $n = 2$, $s \in \{0, 1\}$, $\varepsilon > 0$, there exist $p > 3$ and $\sigma > 0$ such that for any $\gamma \in H^{3,1+\varepsilon}(\mathbb{R}^n)$, $\rho \in H^{1,1+\varepsilon}(\mathbb{R}^n)$, $d, d^\perp \in \mathbb{S}^{n-1}$ and $\tau > 0$, there is a CGO solution w as in (4.1) with

$$\|r\|_{H^{s,p}} = O\left(\frac{1}{\tau^{n/p-s+\sigma}}\right).$$

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Remarks:

- Proven for $n = 2, 3$, $s \in \mathbb{R}$, and γ, ρ with regularity depending on n, s .
- Based on a result from [Päivärinta-Salo-Vesalainen '17].

Asymptotic analysis ($\tau \rightarrow \infty$)

$$\int_{\mathcal{C}_\varepsilon} (\gamma - 1) \nabla v \cdot \nabla w - k^2(\rho - 1)vw \, dx = \int_{\mathcal{K}_\varepsilon} \gamma \partial_\nu w (v - u) - w (\partial_\nu v - \gamma \partial_\nu u) \, ds$$

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$$\left| \int_{\mathcal{C}_\varepsilon} [(\gamma(x) - 1) \nabla v(x) \cdot \nabla w(x) - \gamma_\beta(\hat{x}) V(\hat{x}) \cdot \eta |x|^{\alpha+\beta} e^{\eta \cdot x}] \, dx \right|$$

$$= \|\gamma_\beta V\|_{L^\infty(\mathcal{K})} O\left(\frac{1}{\tau^{n+\beta+\alpha}}\right) + O\left(\frac{1}{\tau^{n+\beta+\alpha-1+\sigma}}\right),$$

if $(\gamma(x) - 1)\gamma^{-1/2}(x) = \gamma_\beta(\hat{x})|x|^\beta + O(|x|^{\beta+\sigma})$ and
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$$\left| \int_{\mathcal{C}_\varepsilon} [(\rho - 1)vw - \rho_0(\hat{x})\tilde{v}(\hat{x})|x|^{\alpha_0+\beta_0} e^{\eta \cdot x}] \, dx \right|$$

$$= \|\rho_0 \tilde{v}\|_{L^\infty(\mathcal{K})} O\left(\frac{1}{\tau^{n+\beta_0+\alpha_0+\sigma}}\right) + O\left(\frac{1}{\tau^{n+\beta_0+\alpha_0+\sigma}}\right),$$

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A Contradiction

Lemma 2

Let $n = 2$ ($\beta_0 = 0$ and $\alpha_0 = N_0$). There exist $d \in \mathbb{S}^{n-1}$ and $C_{1,N_0} \neq 0$ such that

$$\int_{\mathcal{C}_\varepsilon} v_{N_0}(x) e^{\eta \cdot x} dx = C_{1,N_0} \tau^{-n-N_0} + o\left(\tau e^{-\varepsilon\tau/2}\right).$$

Let $n = 2$ ($\beta = 0$ and $\alpha = N$). Given $d \in \mathbb{S}^{n-1}$ we have

$$\int_{\mathcal{C}_\varepsilon} e^{\eta \cdot x} \tilde{V}_N \cdot \eta dx = C_0 \tau^{1-n-N} + o\left(\tau e^{-\varepsilon\tau/2}\right),$$

where $C_0 \neq 0$ unless $\psi_0 = \frac{l\pi}{1+N} \in (0, \pi)$, i.e., $N = \frac{\pi}{\psi_0} l - 1 \in \mathbb{N}$, for some $l \in \mathbb{N}$.

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Example: Corners of $c - 1$ with a jump while $a - 1$ vanish to the second order locally: $\beta = 2$, $\gamma_\beta \equiv 0$, $\alpha = N$, $\beta_0 = 0$, $\alpha_0 = N_0$,

$$\left| \int_{\mathcal{C}_\varepsilon} (\gamma - 1) \nabla v \cdot \nabla w - k^2 (\rho - 1) v w dx \right| = O\left(\frac{1}{\tau^{n+N+1+\sigma}}\right) - k^2 \rho_0 \int_{\mathcal{C}_\varepsilon} v_{N_0}(x) e^{\eta \cdot x} dx$$

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A local problem

Given a convex polyhedral cone $\mathcal{C}_{x_0}^\varepsilon$ with finitely many edges, consider

$$\begin{cases} \nabla \wedge \mathbf{E} - i\omega\mu_0\mathbf{H} = \mathbf{F}_1 & \text{in } \mathcal{C}_{x_0}^\varepsilon, \\ \nabla \wedge \mathbf{H} + i\omega\epsilon_0\mathbf{E} = \mathbf{F}_2 & \text{in } \mathcal{C}_{x_0}^\varepsilon, \\ \nu \wedge \mathbf{E} = \nu \wedge \mathbf{H} = 0 & \text{on } \partial\mathcal{C}_{x_0}^\varepsilon \setminus \partial B_\varepsilon(x_0), \end{cases} \quad (5.1)$$

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Theorem 3 (Blåsten-Liu-X. '19)

For any given $\mathbf{F}_j \in C^\alpha(B_{x_0}^\varepsilon)^3$, $j = 1, 2$, with $\alpha \in (0, 1)$, suppose there exists a solution $(\mathbf{E}, \mathbf{H}) \in (H(\text{curl}, \mathcal{C}_{x_0}^\varepsilon))^2$ to (5.1). Then

$$\mathbf{F}_1(x_0) = \mathbf{F}_2(x_0) = 0. \quad (5.2)$$

Sketch of the proof

To show $\mathbf{F}_0 = 0$, where $\mathbf{F}_2(x) = \mathbf{F}_0 + \tilde{\mathbf{F}}(x)$ with $|\tilde{\mathbf{F}}(x)| \leq C|x|^\alpha$, $x \in \mathcal{C}^\varepsilon$.

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An integral identity, $\nabla \wedge \mathbf{V} - i\omega\mu_0\mathbf{W} = 0$, $\nabla \wedge \mathbf{W} + i\omega\epsilon_0\mathbf{V} = 0$ in \mathcal{C}^ε ,

$$\int_{\mathcal{C}^\varepsilon} (\mathbf{F}_1 \cdot \mathbf{W} + \mathbf{F}_2 \cdot \mathbf{V}) = \int_{\partial\mathcal{C}^\varepsilon} (\mathbf{W} \cdot (\nu \wedge \mathbf{E}) + \mathbf{V} \cdot (\nu \wedge \mathbf{H})).$$

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Take $\mathbf{V}(x) = pe^{\rho \cdot x}$ and $\mathbf{W}(x) = \frac{1}{i\omega\mu_0}\rho \wedge pe^{\rho \cdot x}$ with

$$\rho/\tau = d + i\sqrt{1 + k^2/\tau^2}d^\perp \quad \text{and} \quad p = d^\perp - i\sqrt{1 + k^2/\tau^2}d.$$

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Asymptotics:

$$\int_{\mathcal{C}^\varepsilon} (\mathbf{F}_1 \cdot \mathbf{W} + \tilde{\mathbf{F}} \cdot \mathbf{V}) + \int_{\mathcal{C} \setminus \mathcal{C}^\varepsilon} \mathbf{F}_0 \cdot \mathbf{V} = O(\tau^{-(3+\alpha)}).$$

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Lemma 3

There exist $C > 0$, and $d, d^\perp \in \mathbb{S}^2$ such that $\lim_{\tau \rightarrow \infty} \mathbf{F}_0 \cdot p \neq 0$ and

$$\left| \int_{\mathcal{C}} e^{\rho \cdot x} dx \right| \geq C\tau^{-3}, \quad \text{any } \tau \geq k.$$

Applications – source scattering

EM source scattering:

$$\nabla \wedge \mathbf{E}(x) - i\omega\mu_0\mathbf{H}(x) = \mathbf{J}_1(x), \quad x \in \mathbb{R}^3,$$

$$\nabla \wedge \mathbf{H}(x) + i\omega\epsilon_0\mathbf{E}(x) = \mathbf{J}_2(x), \quad x \in \mathbb{R}^3,$$

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Theorem 4 (Non-radiating sources with corners)

Let \mathbf{J}_1 or/and \mathbf{J}_2 be a radiationless source with a corner $(x_0, \mathcal{C}_{x_0}^\epsilon)$ at its support. If $\mathbf{J}_1|_{\mathcal{C}_{x_0}^\epsilon} = \mathbf{F}|_{\mathcal{C}_{x_0}^\epsilon}$ with some $\mathbf{F} \in C^\alpha(B_{x_0}^\epsilon)$ and $\alpha > 0$, then

$$\mathbf{J}_1(x_0) = 0.$$

Other applications

Shape determination of sources: Uniqueness in determining the polyhedral convex hull of the source by a single measurement.

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EM medium problem:

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Set $(\widehat{\mathbf{E}}, \widehat{\mathbf{H}}) := (\mathbf{E}^t, \mathbf{H}^t) - (\mathbf{E}^0, \mathbf{H}^0) \longrightarrow$ Source problem.

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- Corner scattering;
- Interior transmission eigenfunctions.

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- 3 Applications
 - Shape Determination
 - Approximation by Herglotz Wave Functions
- 4 Sketch of the Proof
- 5 Corner of Sources Scatter - EM case
- 6 Concluding remarks

Further

- Concave corners;
- Anisotropic materials at the corner;
- Incident fields where no conclusion is made yet;
- Other dimensions;
- “Other shapes” scattering.

Thank you!