



Beam blowup due to synchro-beta resonance with/without beam-beam effects

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Many thanks to M. Benedikt, D. Shatilov, F. Zimmermann, and the entire FCC-ee Collaboration Team

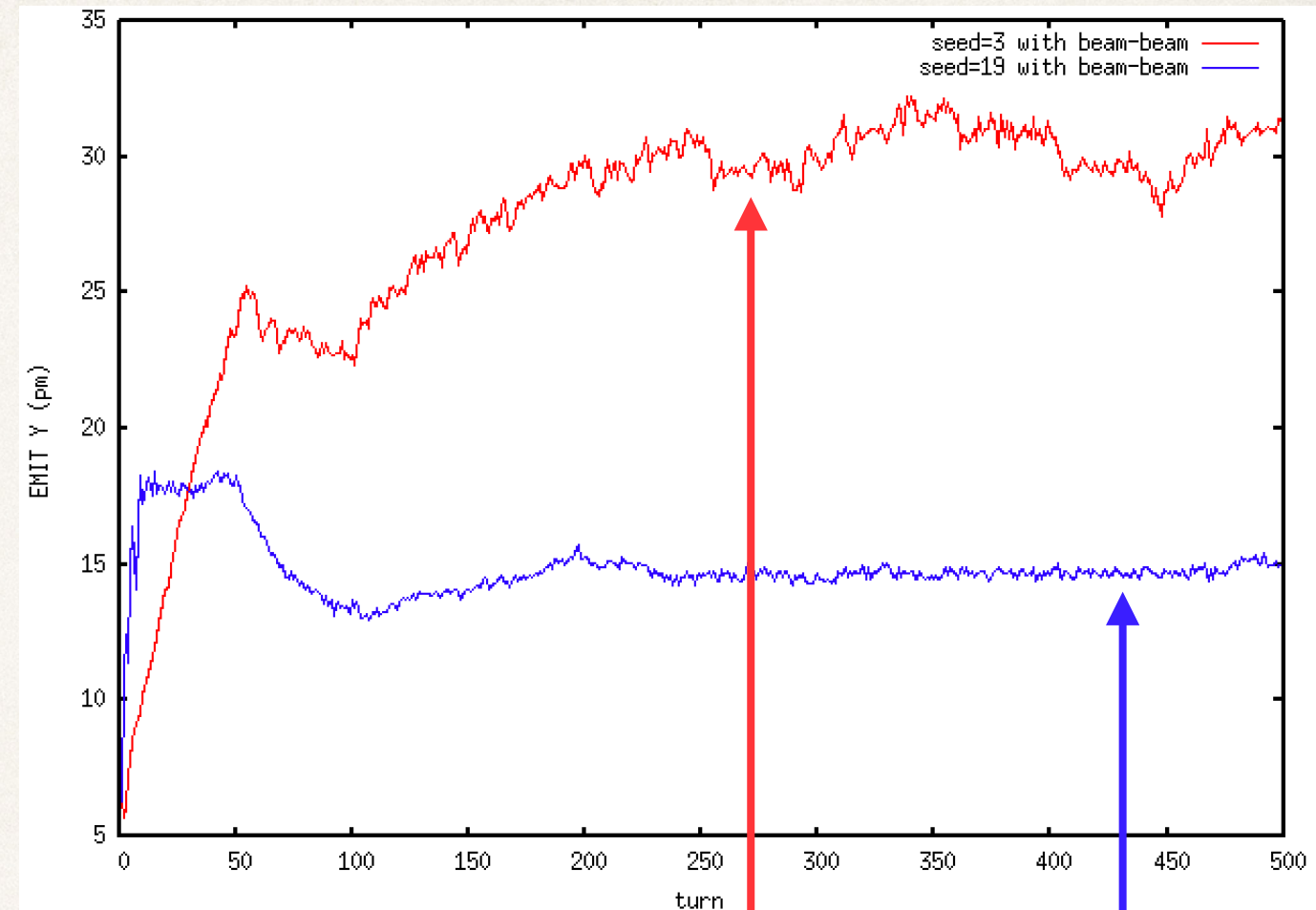
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Unexpected beam blowup



- ❖ D. El Khechen has observed an unexpected vertical beam blowup in tracking simulations with beam-beam and lattice for FCC-ee ttbar by SAD.
- ❖ The vertical (on closed orbit) emittance of the lattice is generated by random misalignments of sextpoles and set to the design ($2.9 \text{ pm} = 0.2\%$).
- ❖ In early simulations with beam beam and lattice without misalignment did not show such blowups (D. Zhou).
- ❖ The blowup strongly depends on the random number for strength of skew quads or misalignments of sextupoles to produce the vertical emittance.



| Coupling (%) | 0.2 | 0.2 |
|---|---|---|
| RMS of sext. Offset (°) | 11 | 15 |
| Seed | 3 | 19 |
| η_y @ (IP.1, IP.2) (°) | (-5.3, 4.24) | (-8.9, 8) |
| $\eta_{py} \times \beta_y^*$ @ (IP.1, IP.2) (°) | (6.8, 1.04) | (35.4, 23) |
| R2 parameter | (1.8×10^{-3} , 1.8×10^{-3}) | (-5.1×10^{-5} , -1.8×10^{-4}) |

lattice emittance on closed orbit = 2.9 pm

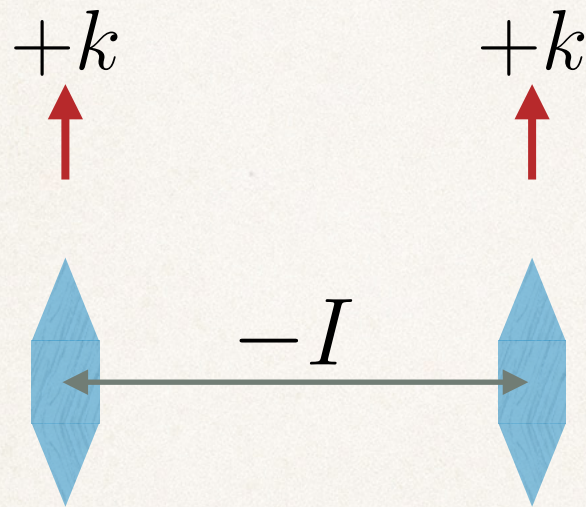
Why unexpected?

- ❖ This unexpected blowup occurs even when the residual dispersion at the IP is below the criteria given by D. Shatilov with beam-beam simulation with beamstrahlung but without the lattice.

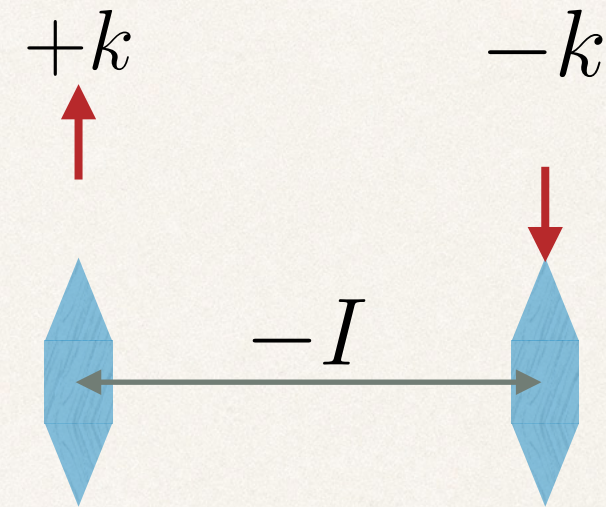
| | | | | | |
|---------|--|---------------------|---------------------|----------------------|----------------------|
| | Energy [GeV] | 45.6 | 80 | 120 | 175 |
| | Vertical beam size (nominal) [μ] | 0.028 | 0.041 | 0.035 | 0.066 |
| | Energy spread (with BS) | $1.3 \cdot 10^{-3}$ | $1.3 \cdot 10^{-3}$ | $1.65 \cdot 10^{-3}$ | $1.85 \cdot 10^{-3}$ |
| w/o BS | Dispersion for +5% in σ_y [μ] | 7 | 10 | 7 | 11 |
| with BS | Actual σ_y / σ_{y0} with such a dispersion | 2.7 | 1.18 | 1.16 | 1.17 |
| with BS | Actual dispersion for +5% in σ_y [μ] | 1 | 5 | 4 | 6 |

D. Shatilov

- Lattice: FCCee_t_217_nosol_2.sad, 182.5 GeV, half ring.
- The vertical emittance is given by randomly excited skew quadrupole placed on each sextupole in the arc:



Symmetric: vertical dispersion is confined within the pair, x-y coupling leaks outside.



Antisymmetric: x-y coupling is confined within the pair, vertical dispersion leaks outside.

- The vertical invariant emittance is always set to 2.9 pm ($\epsilon_y / \epsilon_x = 0.2\%$).
- Synchrotron radiation in all magnets.
- Tapering.
- Optionally, simplified beam-beam effects and beamstrahlung can be applied.
- 1000 particles up to 300 half-turns.

Optics by different excitations of skew quads

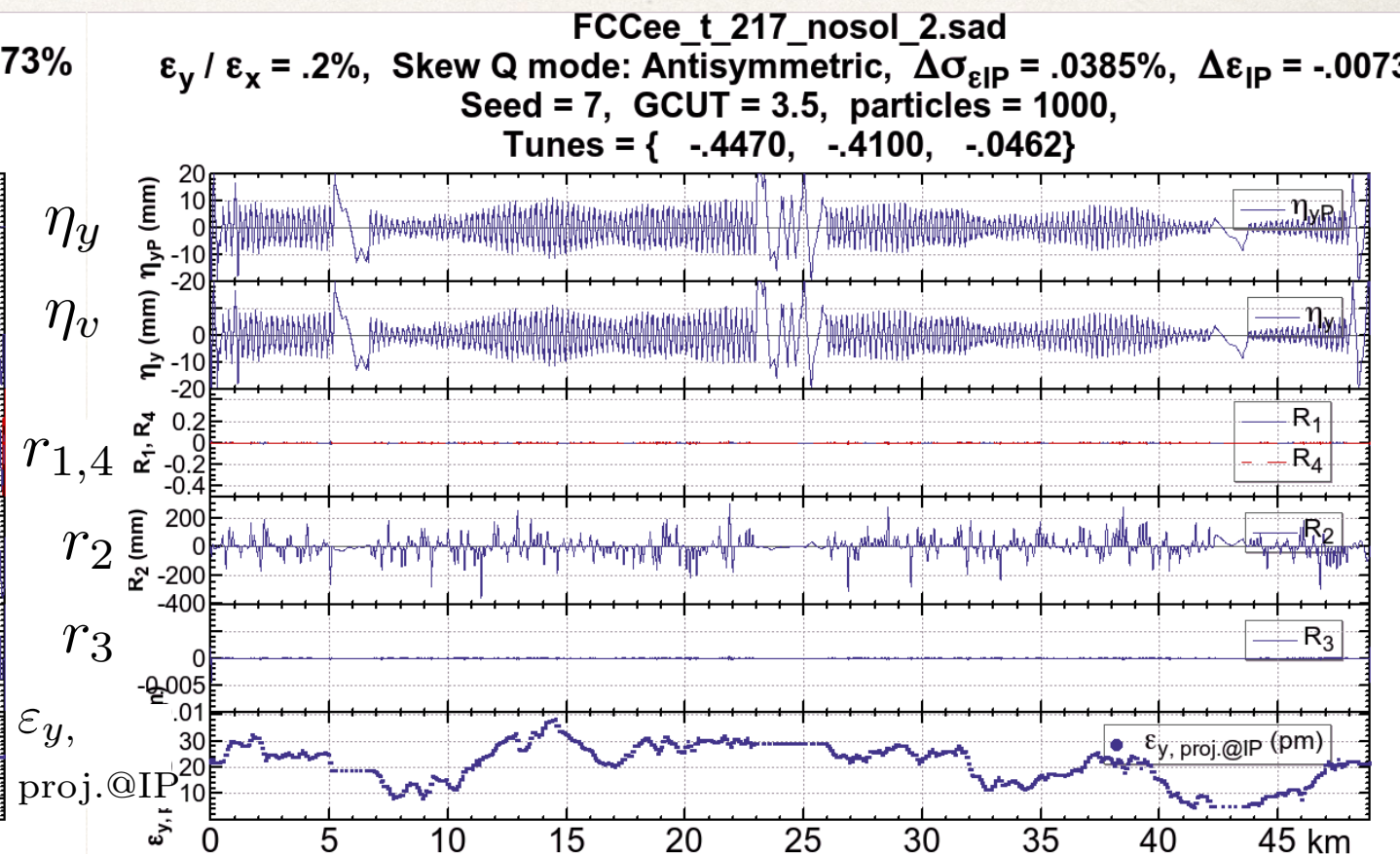
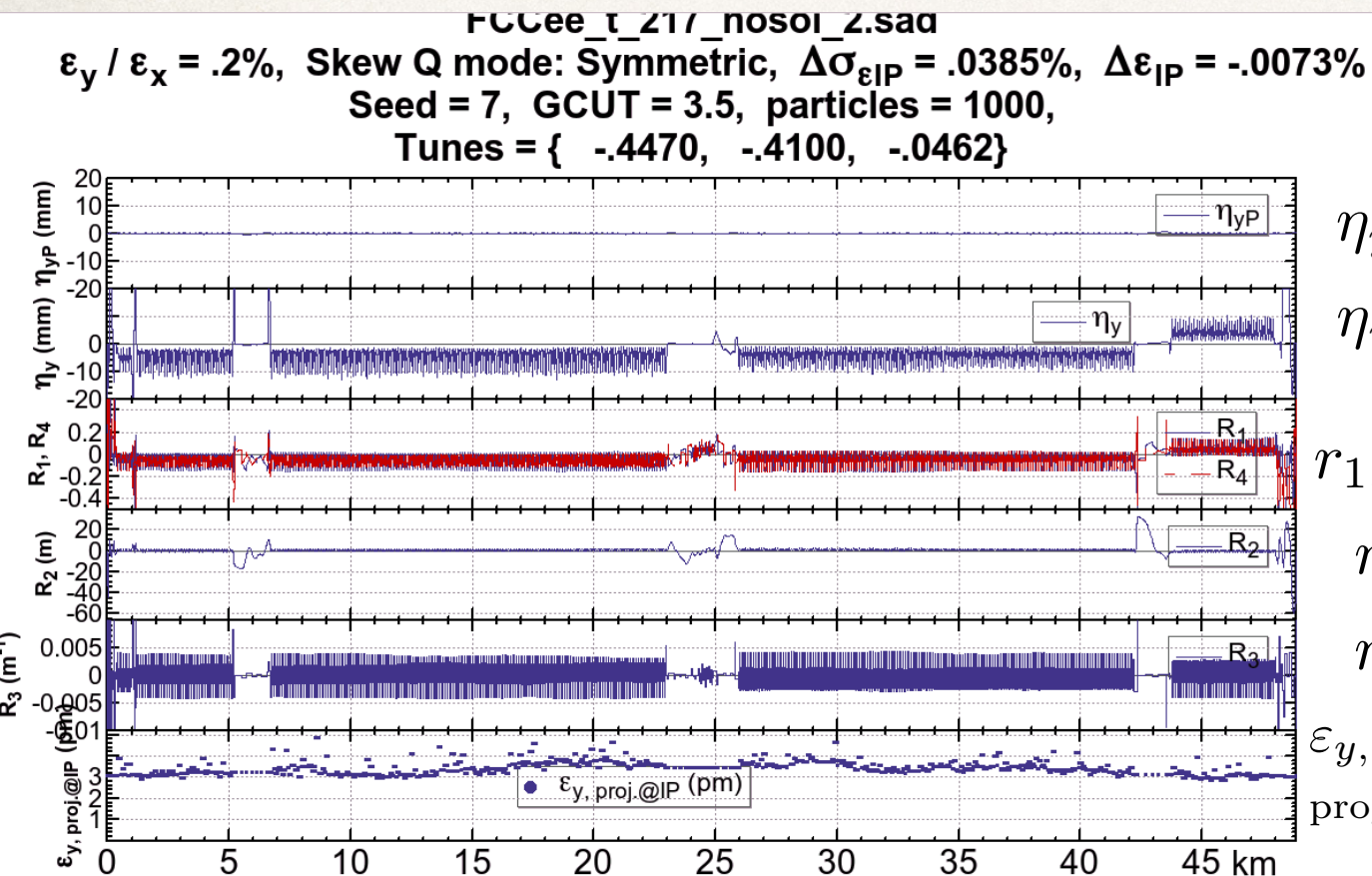


Symmetric Skew Quads

Vertical dispersion is confined within the pair, x-y coupling leaks outside.

Antisymmetric Skew Quads

X-y coupling is confined within the pair, vertical dispersion leaks outside.



definition of x-y coupling parameter:

$$\begin{pmatrix} u \\ p_u \\ v \\ p_v \end{pmatrix} = R \begin{pmatrix} x \\ p_x \\ y \\ p_y \end{pmatrix} = \begin{pmatrix} \mu & . & -r_4 & r_2 \\ . & \mu & r_3 & -r_1 \\ r_1 & r_2 & \mu & . \\ r_3 & r_4 & . & \mu \end{pmatrix} \begin{pmatrix} x \\ p_x \\ y \\ p_y \end{pmatrix},$$

↑
betatron coordinate
↑
physical coordinate

The skew quads on a sextupole pair can be represented by two random numbers $k_{1,2}$ and a parameter $-1 \leq s \leq 1$ as $(k_1 + sk_2, k_2 + sk_1)$. Then

$s = 1$: perfect symmetric

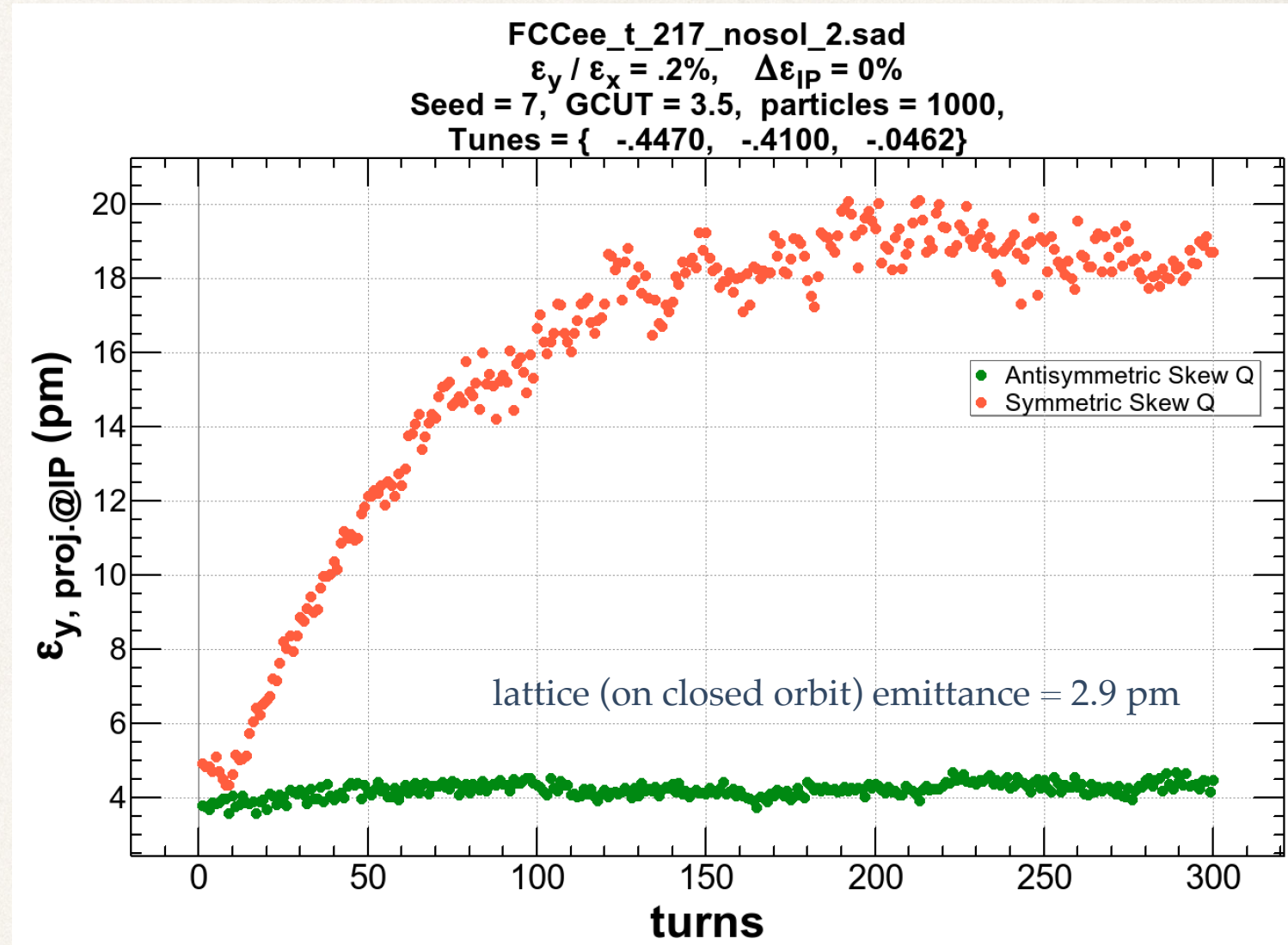
$s = -1$: perfect antisymmetric

$s = 0$: simply random

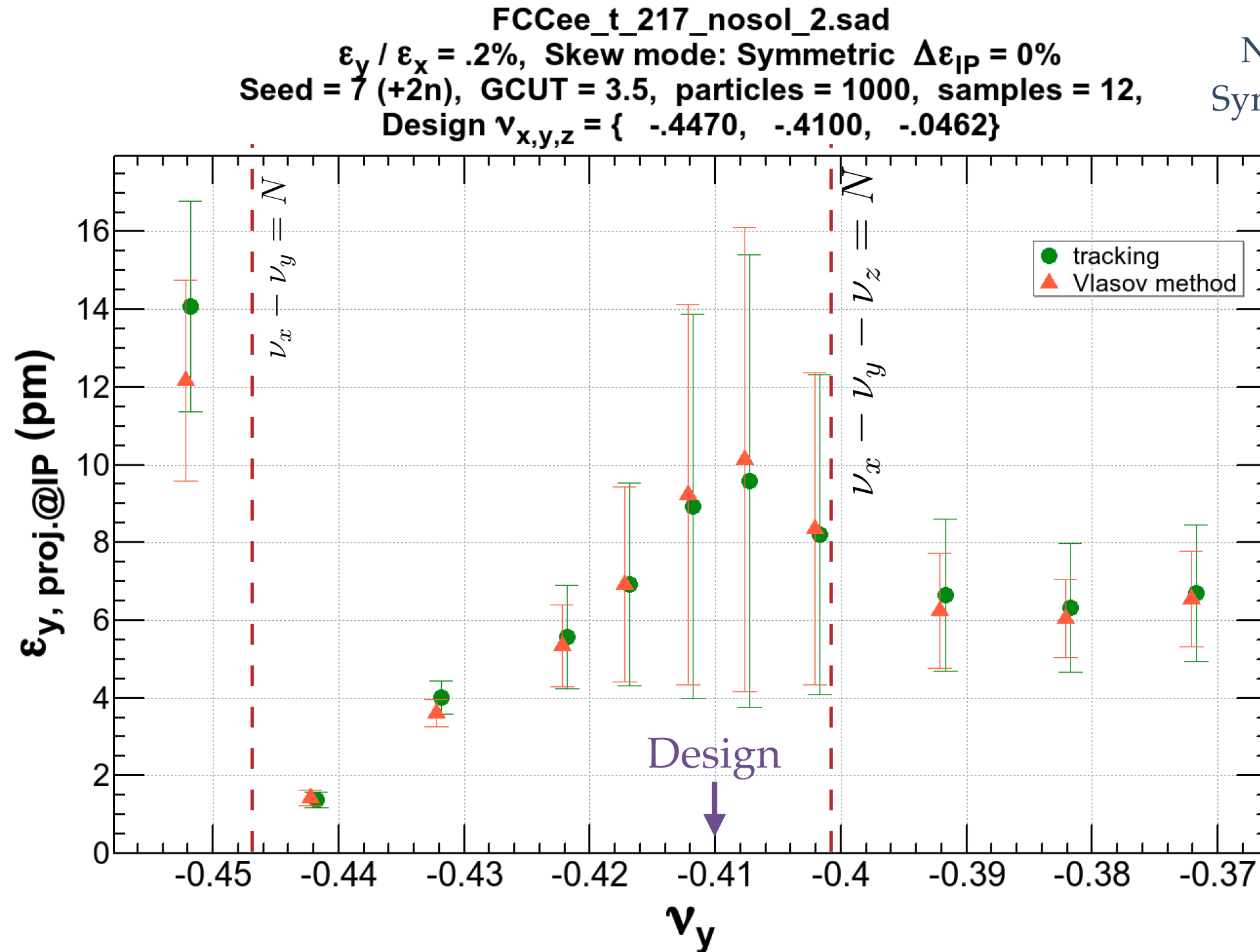
Unexpected beam blowup



- ❖ Then it was found that such a blowup could occur even *without beam-beam*.
- ❖ The blowup depends on how the vertical emittance is generated (between symmetric skew = x - y coupling dominated and antisymmetric skew = vertical dispersion dominated).
- ❖ The blowup is explained by a Vlasov model for “anomalous emittance” in Ref. [2]. .



The Vlasov model agrees with tracking



- The error bars show the variation for 12 samples of skew excitations.
- The most significant resonance is $\nu_x - \nu_y - \nu_z = N$, according to the tune dependence.

The Vlasov model (in Ref. [2])



We define the mean value \mathbf{h} of the orbit deviation from the transverse part of \mathbf{x}_e and the transverse variance matrix W around \mathbf{h} as

$$\mathbf{h}(J_z, \phi_z) = \int (\mathbf{x}_t - \mathbf{x}_{te}) f(\mathbf{x}_t, J_z, \phi_z) d\mathbf{x}_t / \rho(J_z) , \quad (3)$$

$$W(J_z, \phi_z) = \int (\mathbf{x}_t - \mathbf{x}_{te})(\mathbf{x}_t^T - \mathbf{x}_{te}^T) \times f(\mathbf{x}_t, J_z, \phi_z) d\mathbf{x}_t / \rho(J_z) ,$$

where f is the six-dimensional distribution function at s , and the integration is performed over the transverse phase space. The subscript t indicates the transverse part. The longitudinal distribution $\rho(J_z)$ is Gaussian, i.e.,

$$\int f(\mathbf{x}_t, J_z, \phi_z) d\mathbf{x}_t = \rho(J_z) = \exp(-J_z^2 / \sigma_\delta^2) / \sigma_\delta^2 , \quad (4)$$

where σ_δ is the momentum spread. Since we have assumed that the synchrotron motion is sinusoidal, which advances the phase ϕ_z by μ_z in one revolution of the ring as Eq. (2), the equilibrium distribution satisfies these equations:

$$\begin{aligned} \mathbf{h}(J_z, \phi_z + \mu_z) &= U\mathbf{h}(J_z, \phi_z) + \mathbf{d} + \Delta\mathbf{h} , \\ W(J_z, \phi_z + \mu_z) &= UW(J_z, \phi_z)U^T + \mathbf{d}\mathbf{h}^T U^T + U\mathbf{h}\mathbf{d}^T \\ &\quad + \mathbf{d}\mathbf{d}^T + D + \Delta W , \end{aligned} \quad (5)$$

Closed orbit (J_z, ϕ_z)

Transverse second moment (J_z, ϕ_z)

The longitudinal distribution is Gaussian

$U=U(\delta)$: momentum dependent 1-turn xfer matrix

Equilibrium after one revolution of the ring

Diffusion is also taken into account.

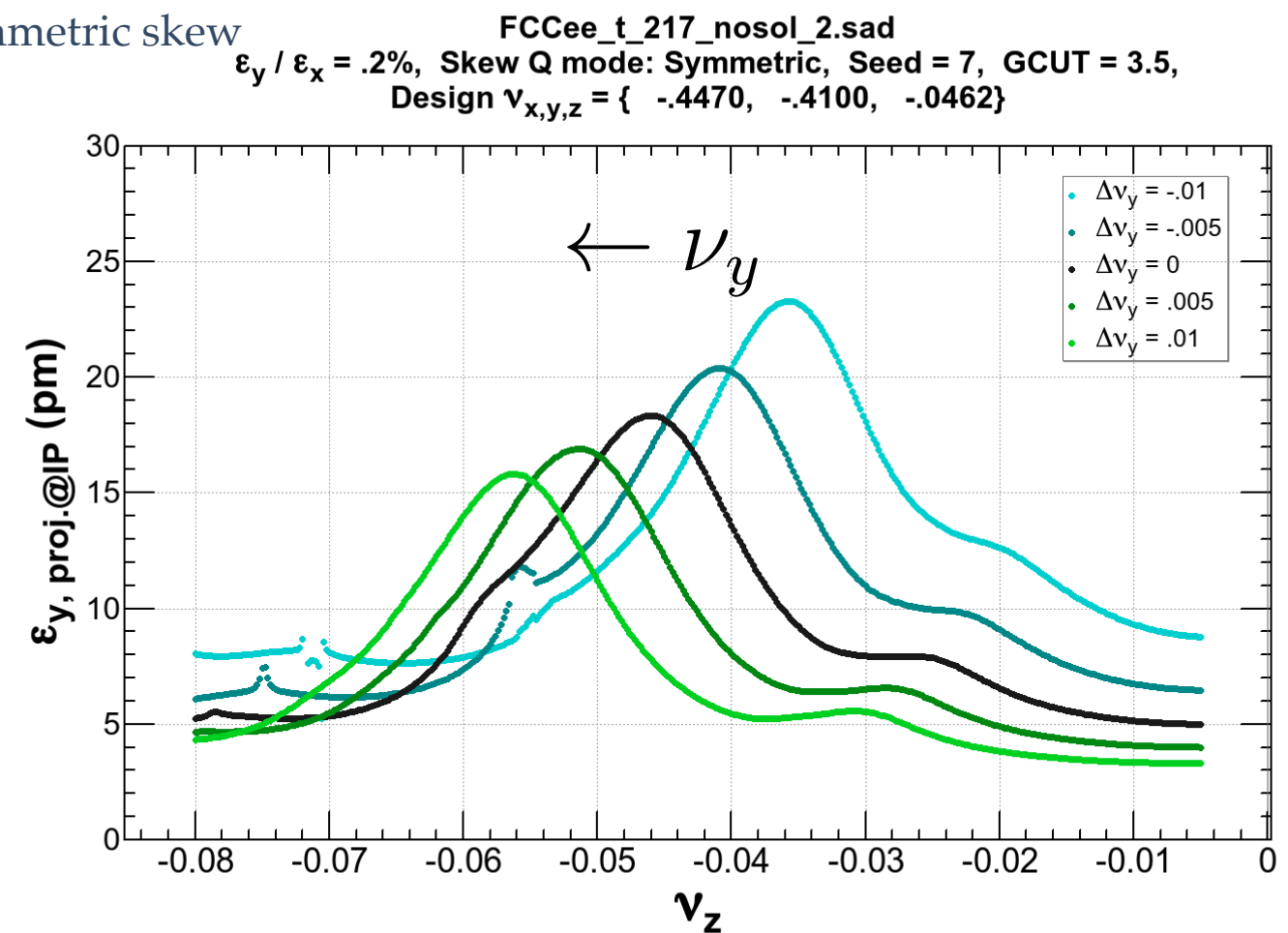
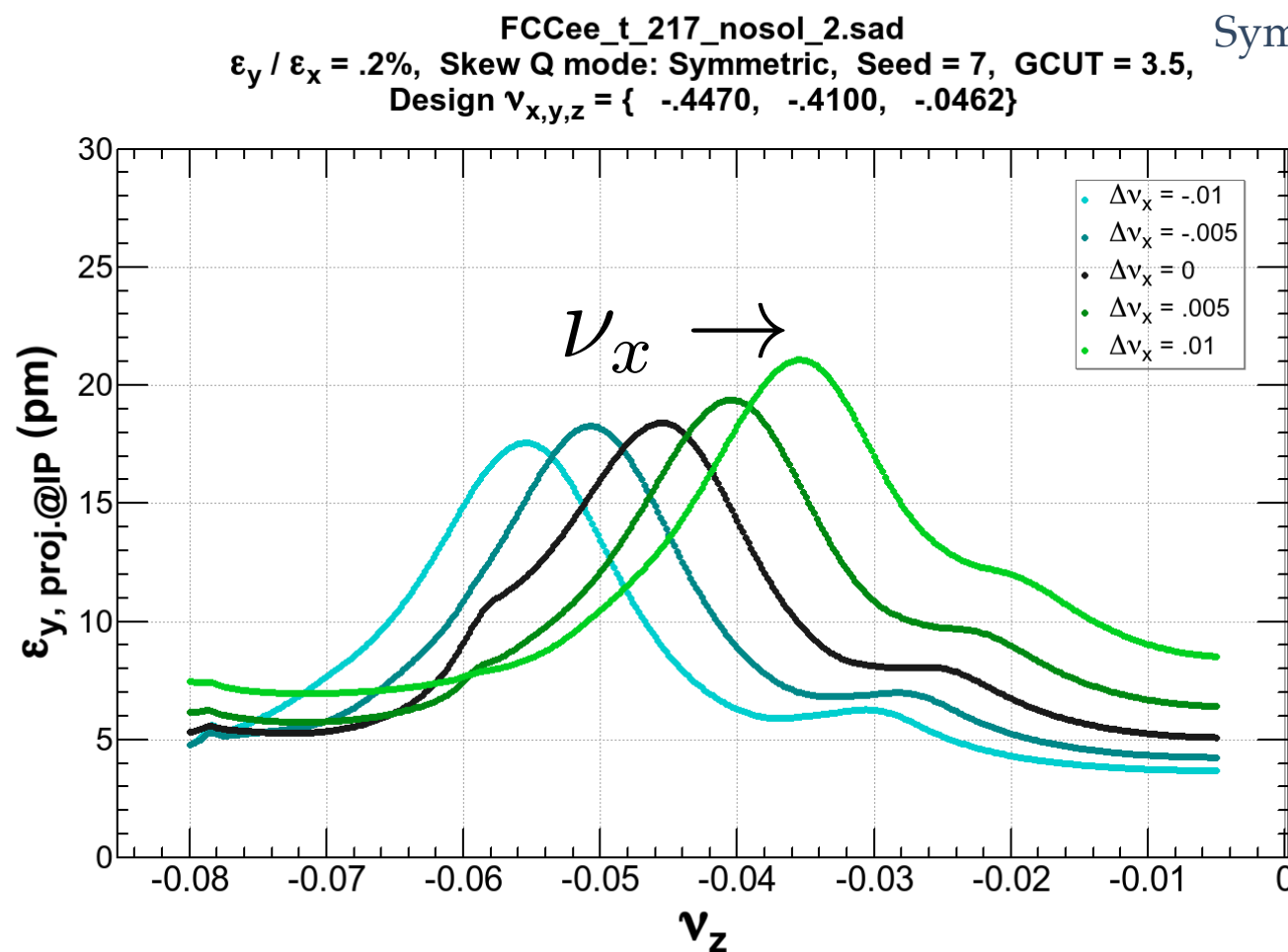
Tune dependence by Vlasov model



- ❖ As the agreement with tracking looks excellent, let us use the Vlasov model hereafter, since it is many orders faster than tracking.
- ❖ Scanning the synchrotron tune is just easy in the model, since it is just a parameter and no change in the lattice is necessary.

No beam-beam

Symmetric skew

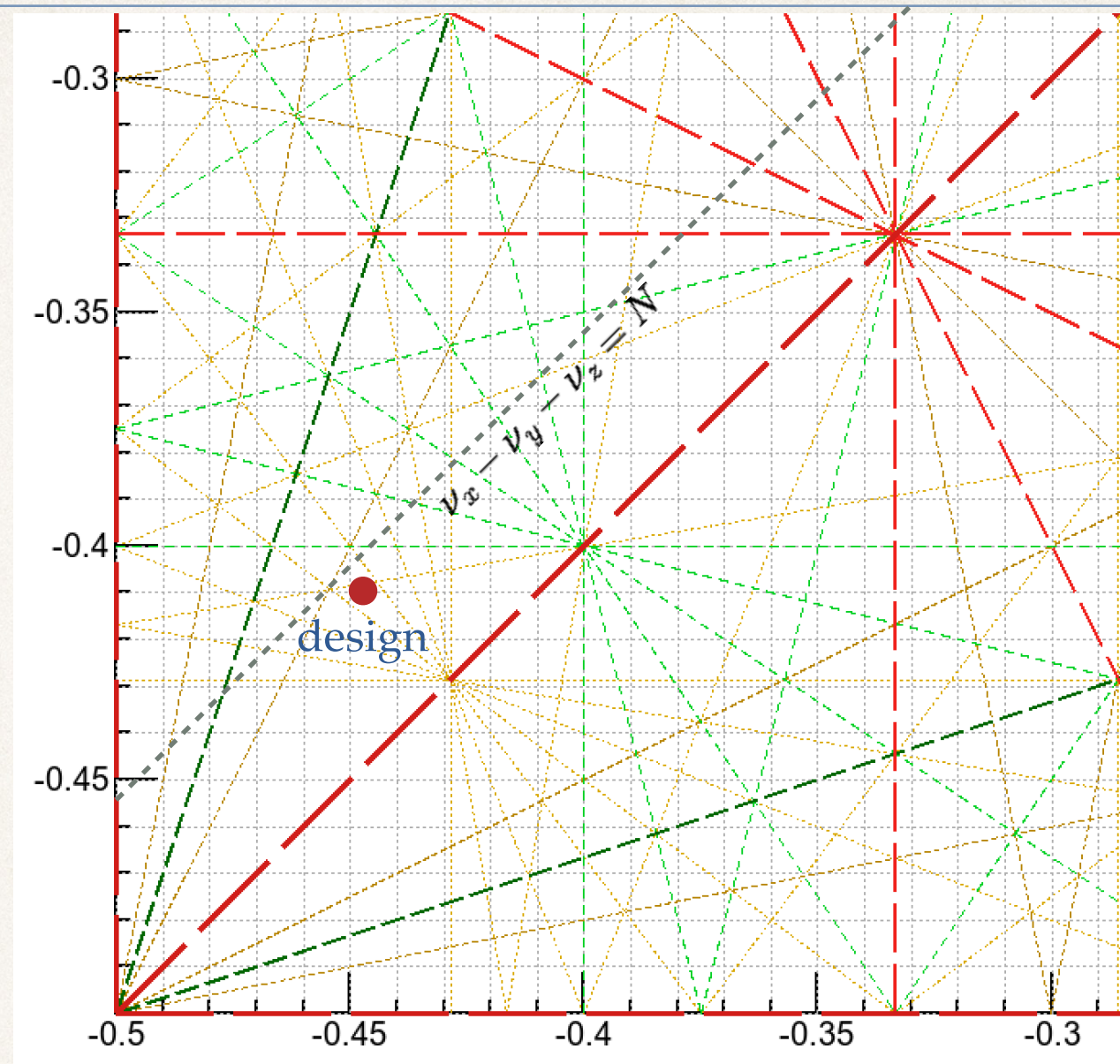


- ❖ The width of resonance \sim damping rate = $1 / (40 \text{ half turns})$

Skew Q is fixed at the design ν_z in these figures above.

- According to the tune dependence above, the resonance $\nu_x - \nu_y - \nu_z = N$ is identified as the most relevant one.

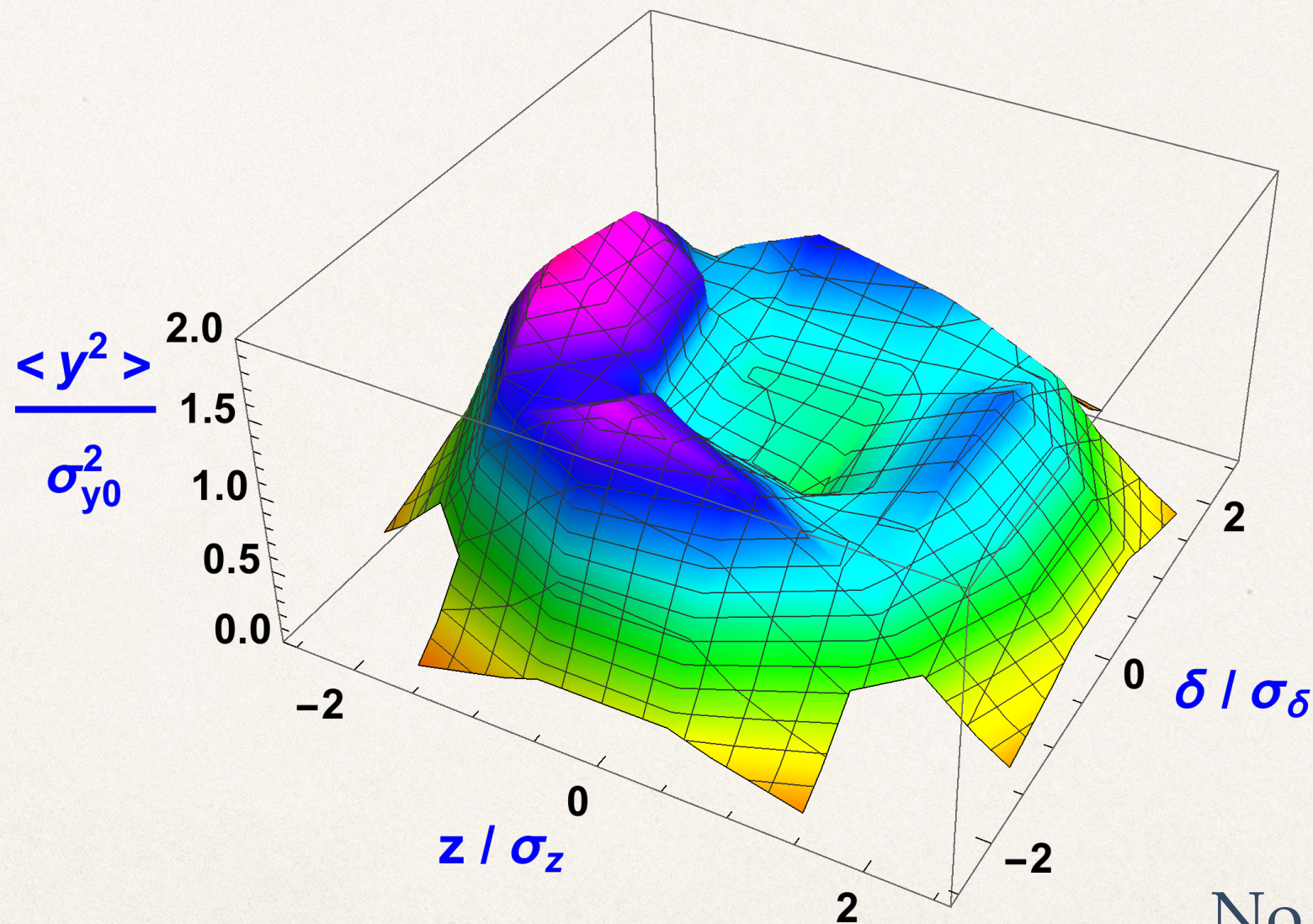
The resonance line



- ❖ The design tune point is a little bit off the resonance line — but it has a meaning: the blowup can be larger than on a tune exact at the resonance.

The Vlasov model

- ❖ Near a resonance line, the transfer matrix over one synchrotron period can be on resonance at a certain amplitude of the synchrotron motion. This leads to the anomalous beam blowup.



No beam-beam
Symmetric skew

- The beam-beam tune shift and beamstrahlung can be implemented in the Vlasov model, by introducing a thin kick

$$\Delta p_{x,y} = -k \frac{\partial U}{\partial(x,y)} , \quad (1)$$

where U is a potential by a gaussian charge distribution.

- The associated transfer matrix is

$$M_{\text{BB}} = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ -k \frac{\partial^2 U}{\partial x^2} & 1 & -k \frac{\partial^2 U}{\partial x \partial y} & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ -k \frac{\partial^2 U}{\partial x \partial y} & 0 & -k \frac{\partial^2 U}{\partial y^2} & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix} , \quad (2)$$

where k and U are chosen to the matrix be consistent with beam-beam parameters $\xi_{x,y}$.

- Beamstrahlung is simplified by an excitation matrix

$$\Delta \Sigma_{\text{BB}} = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \Delta \sigma_{\varepsilon}^2 \end{pmatrix} , \quad (3)$$

The damping due to BS is also implemented in a similar way.

where σ_{ε} is the single-pass energy spread due to beamstrahlung.

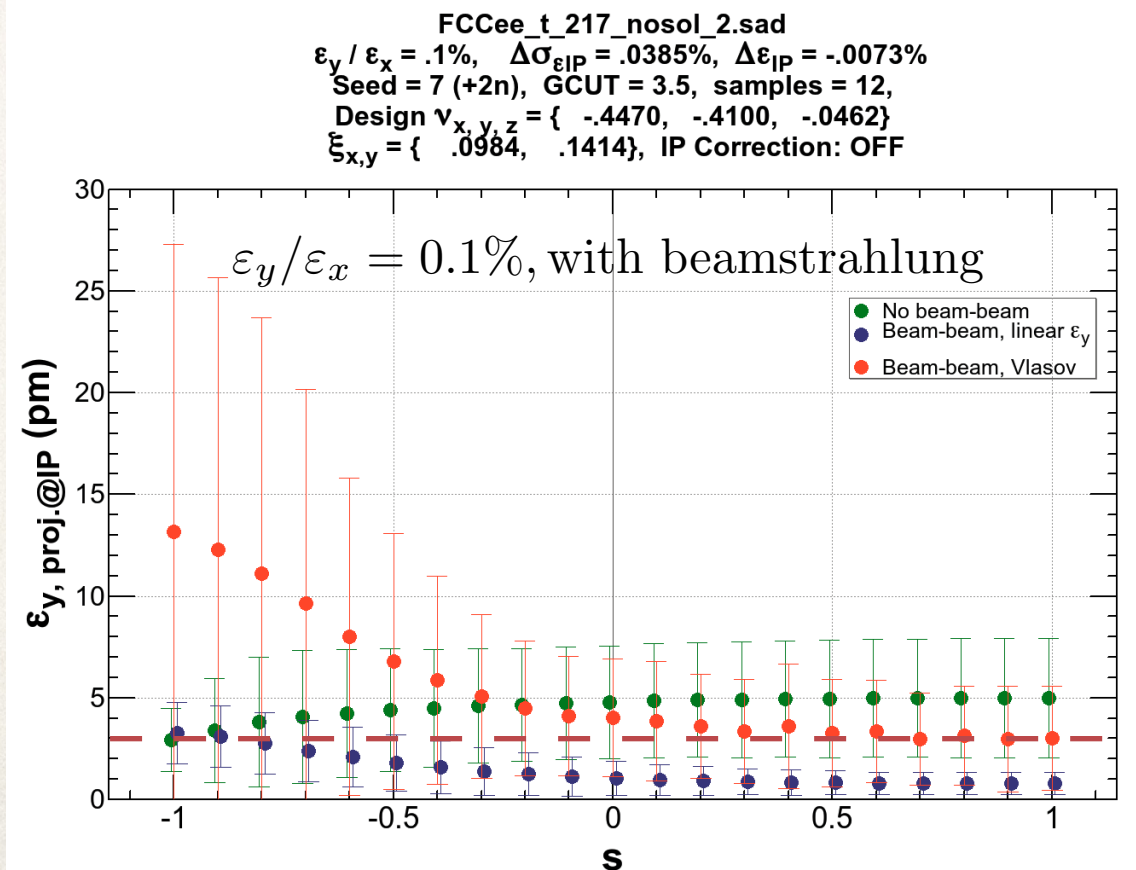
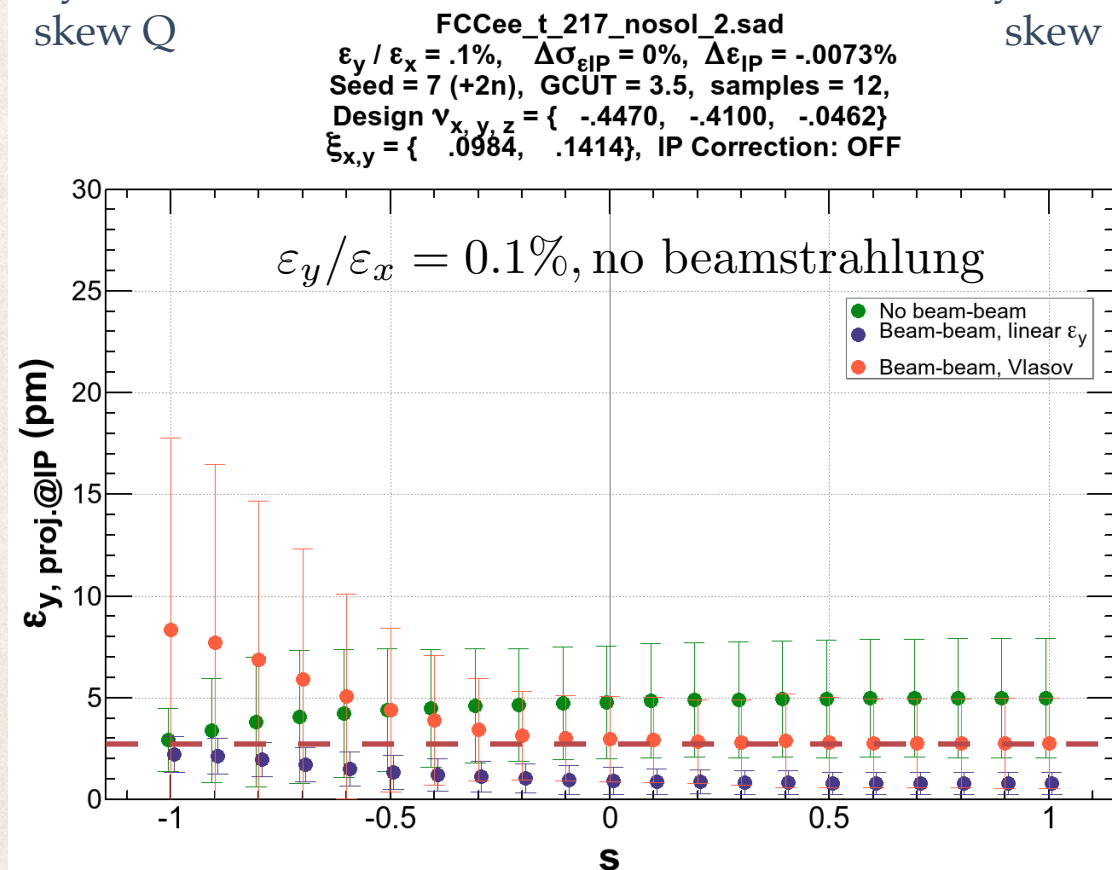
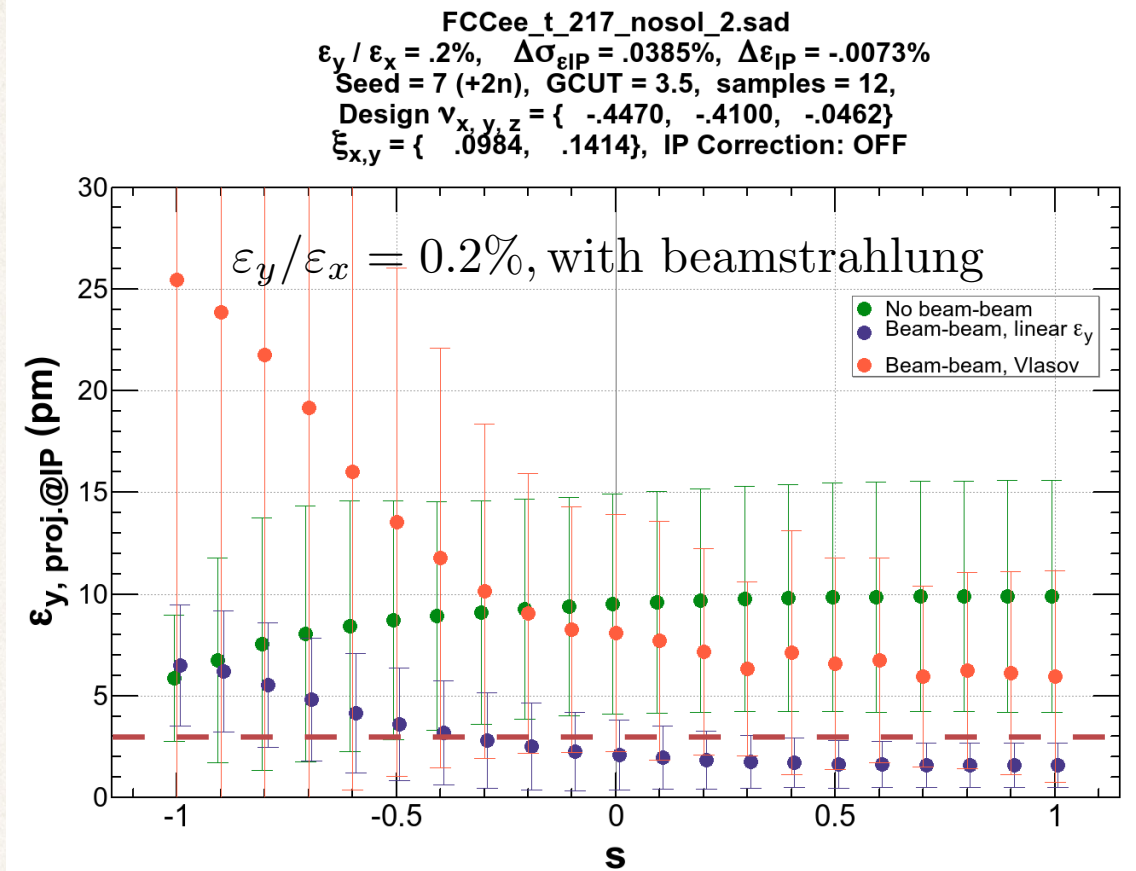
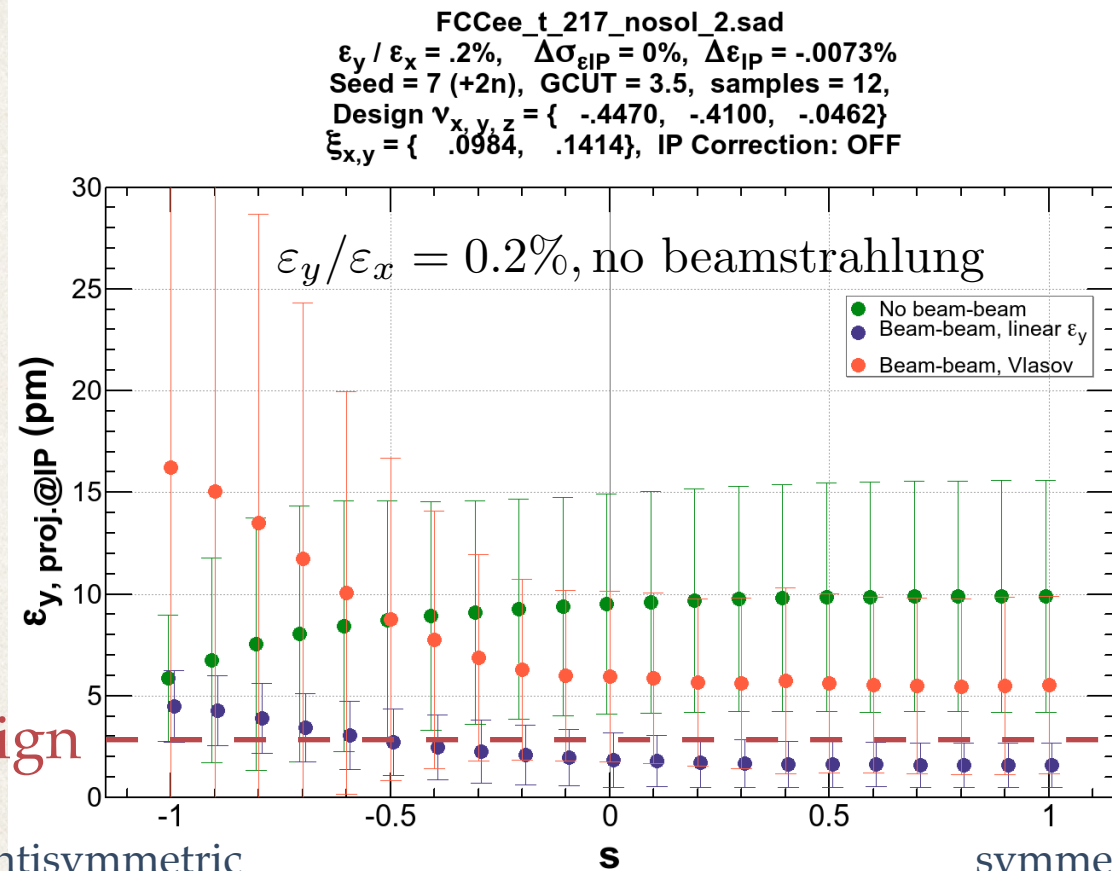
- In the case of FCC-ee@182.5 GeV, $\xi_{x,y} = (0.0984, 0.1414)$ and $\sigma_{\varepsilon} = 3.85 \times 10^{-4}$.

Blowup with/without beam-beam

design

antisymmetric
skew Q

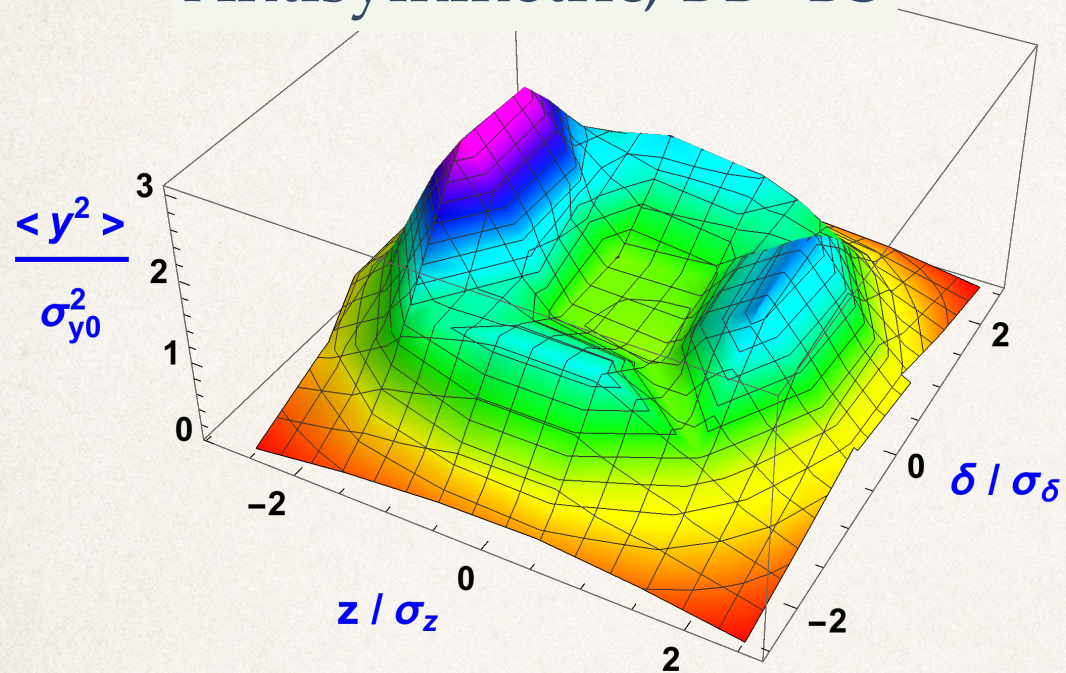
symmetric
skew Q



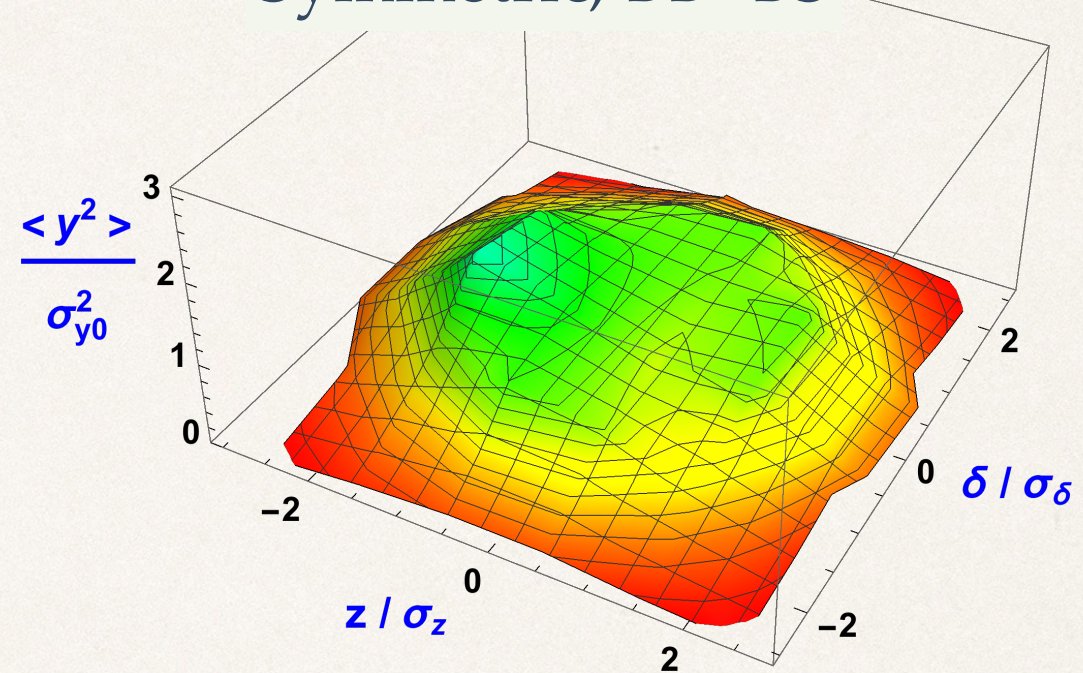
Comparison of the blowups

in the synchrotron phase space

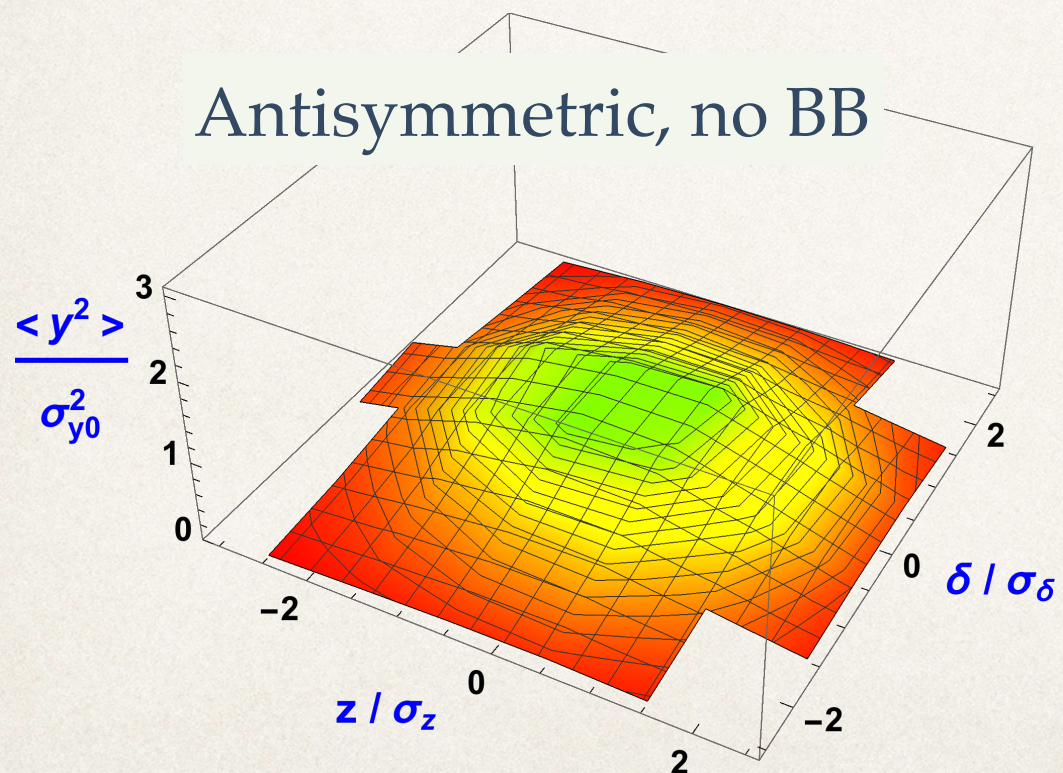
Antisymmetric, BB+BS



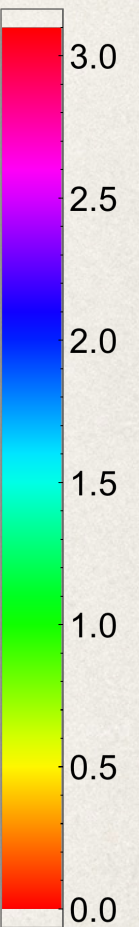
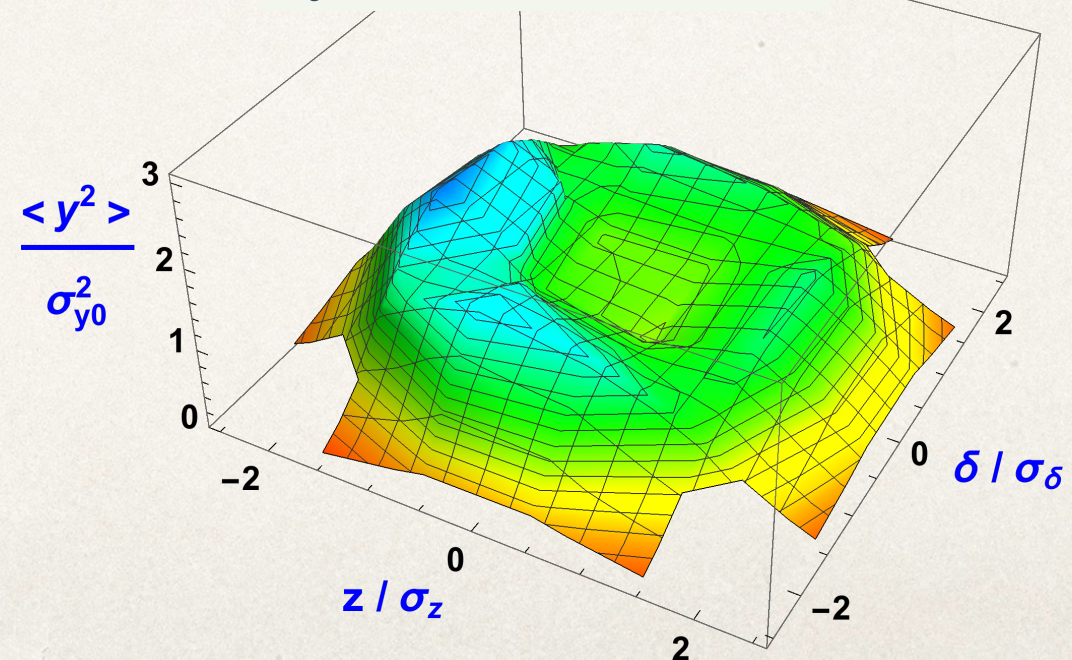
Symmetric, BB+BS



Antisymmetric, no BB

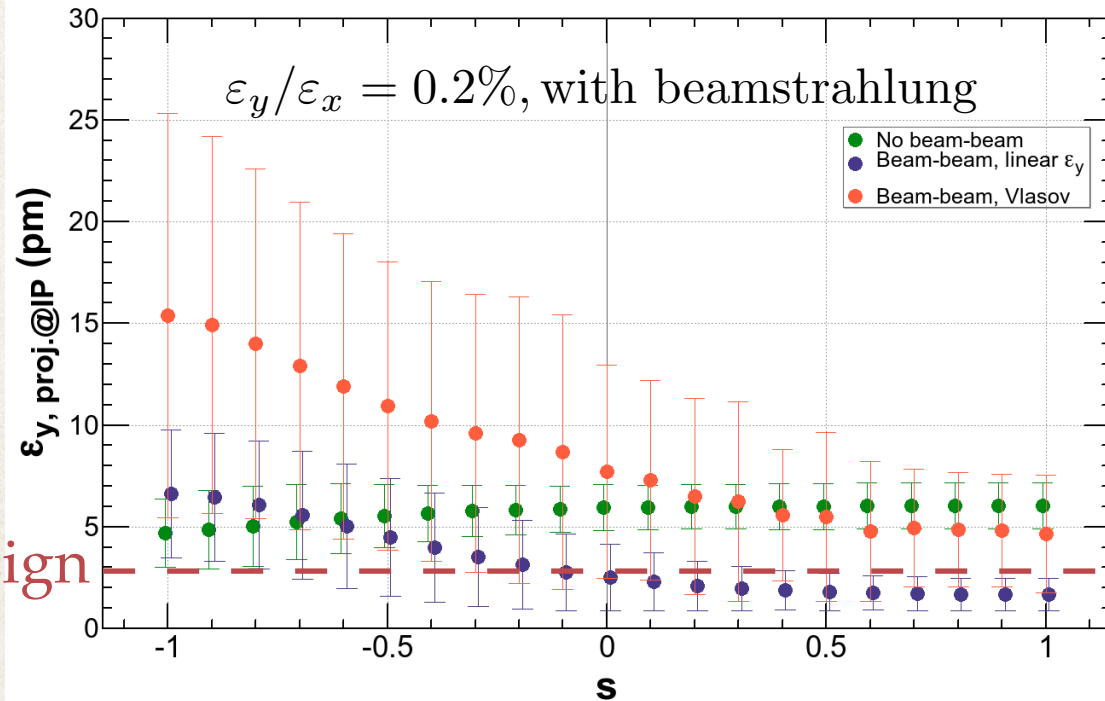


Symmetric, no BB

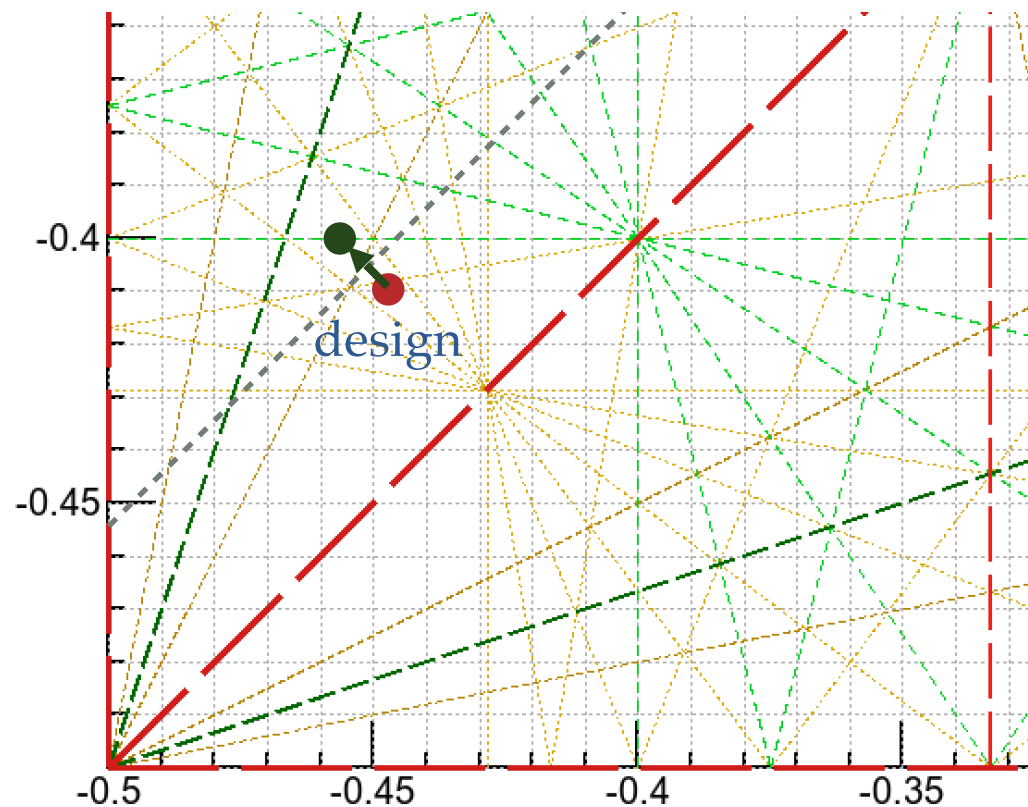


An alternative tune

FCCee_t_217_nosol_3.sad
 $\varepsilon_y / \varepsilon_x = .2\%$, $\Delta\sigma_{\text{elP}} = .0385\%$, $\Delta\varepsilon_{\text{IP}} = -.0073\%$
 Seed = 7 (+2n), GCUT = 3.5, samples = 12,
 Design $\nu_{x,y,z} = \{ -.4570, -.4000, -.0462 \}$
 $\xi_{x,y} = \{ .0984, .1414 \}$, IP Correction: OFF

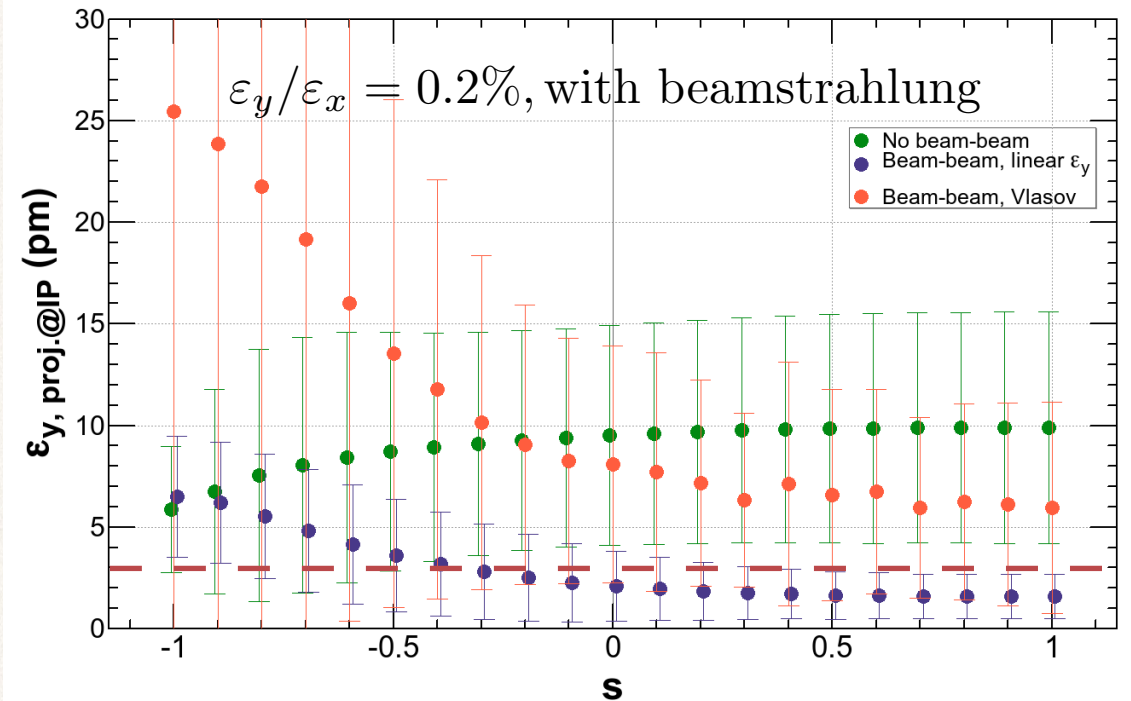


design



Design tune

FCCee_t_217_nosol_2.sad
 $\varepsilon_y / \varepsilon_x = .2\%$, $\Delta\sigma_{\text{elP}} = .0385\%$, $\Delta\varepsilon_{\text{IP}} = -.0073\%$
 Seed = 7 (+2n), GCUT = 3.5, samples = 12,
 Design $\nu_{x,y,z} = \{ -.4470, -.4100, -.0462 \}$
 $\xi_{x,y} = \{ .0984, .1414 \}$, IP Correction: OFF



Shifting the tune by $\Delta\nu_{x,y} = (-0.01, 0.01)$ relaxes the blowup. Combining with a lower emittance may reduce the blowup within the design emittance.

How can we solve the unexpected beam blowup?



- ❖ The unexpected (anomalous) emittance blowup sets an additional condition for the machine.
- ❖ Not only the luminosity, but beam losses, detector background, quenches of superconducting magnets will be affected.
- ❖ Probably the most straight-forward solution is to reduce the lattice (on closed orbit) emittance well below the design. For instance it should be less than 0.1% in the case of FCC-ee ttbar.
- ❖ Such a very small emittance is reachable by the emittance tuning method simulated.
- ❖ Once such a very small vertical emittance is achieved, a question is how to blowup it to the design value. For that purpose an emittance control knob, which does not affect the anomalous emittance, must be developed.

- ❖ Unexpected blowups of the vertical emittance have been seen in tracking in lattices for FCC-ee with skew quads or vertical misalignments of sextupoles, with or without beam-beam.
- ❖ This effect is well explained by a Vlasov model of the transverse distribution in the longitudinal phase space.
- ❖ The effect is a synchrotron-betatron resonance depending on the synchrotron oscillation amplitude.
- ❖ This effect sets another criteria on the machine tuning and alignment tolerances.
- ❖ Even smaller vertical emittance of the lattice will be necessary to achieve the desired emittance.

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Thank you for paying attention!

Backups

Luminosity performance



Table 2.1: Machine parameters of the FCC-ee for different beam energies.

| | | Z | WW | ZH | tt | |
|--|---------------------------------------|-------------|-------------|-------------|-------------------|--------------------|
| Circumference | [km] | 97.756 | | | | |
| Bending radius | [km] | 10.760 | | | | |
| Free length to IP ℓ^* | [m] | 2.2 | | | | |
| Solenoid field at IP | [T] | 2.0 | | | | |
| Full crossing angle at IP | [mrad] | 30 | | | | |
| SR power / beam | [MW] | 50 | | | | |
| Beam energy | [GeV] | 45.6 | 80 | 120 | 175 | 182.5 |
| Beam current | [mA] | 1390 | 147 | 29 | 6.4 | 5.4 |
| Bunches / beam | | 16640 | 2000 | 328 | 59 | 48 |
| Average bunch spacing | [ns] | 19.6 | 163 | 994 | 2763 ¹ | 3396 ^{??} |
| Bunch population | [10 ¹¹] | 1.7 | 1.5 | 1.8 | 2.2 | 2.3 |
| Horizontal emittance ε_x | [nm] | 0.27 | 0.84 | 0.63 | 1.34 | 1.46 |
| Vertical emittance ε_y | [pm] | 1.0 | 1.7 | 1.3 | 2.7 | 2.9 |
| Arc cell phase advances | [deg] | 60/60 | | 90/90 | | |
| Momentum compaction α_p | [10 ⁻⁶] | 14.8 | | 7.3 | | |
| Arc sextupole families | | 208 | | 292 | | |
| Horizontal β_x^* | [m] | 0.15 | 0.2 | 0.3 | 1.0 | |
| Vertical β_y^* | [mm] | 0.8 | 1.0 | 1.0 | 1.6 | |
| Horizontal size at IP σ_x^* | [μ m] | 6.4 | 13.0 | 13.7 | 36.7 | 38.2 |
| Vertical size at IP σ_y^* | [nm] | 28 | 41 | 36 | 66 | 68 |
| Energy spread (SR/BS) σ_δ | [%] | 0.038/0.132 | 0.066/0.131 | 0.099/0.165 | 0.144/0.186 | 0.150/0.192 |
| Bunch length (SR/BS) σ_z | [mm] | 3.5/12.1 | 3.0/6.0 | 3.15/5.3 | 2.01/2.62 | 1.97/2.54 |
| Piwinski angle (SR/BS) | | 8.2/28.5 | 3.5/7.0 | 3.4/5.8 | 0.8/1.1 | 0.8/1.0 |
| Length of interaction area L_i | [mm] | 0.42 | 0.85 | 0.90 | 1.8 | 1.8 |
| Hourglass factor R_{HG} | | | | | | |
| Crab sextupole strength | [%] | 97 | 87 | 80 | 40 | 40 |
| Energy loss / turn | [GeV] | 0.036 | 0.34 | 1.72 | 7.8 | 9.2 |
| RF frequency | [MHz] | 400 | | | 400 / 800 | |
| RF voltage | [GV] | 0.1 | 0.75 | 2.0 | 4.0 / 5.4 | 4.0 / 6.9 |
| Synchrotron tune Q_s | | 0.0250 | 0.0506 | 0.0358 | 0.0818 | 0.0872 |
| Long. damping time | [turns] | 1273 | 236 | 70.3 | 23.1 | 20.4 |
| RF acceptance | [%] | 1.9 | 3.5 | 2.3 | 3.36 | 3.36 |
| Energy acceptance (DA) | [%] | ± 1.3 | ± 1.3 | ± 1.7 | $-2.8 +2.4$ | |
| Polarisation time t_p | [min] | 15000 | 900 | 120 | 18.0 | 14.6 |
| Luminosity / IP | [10 ³⁴ /cm ² s] | 230 | 28 | 8.5 | 1.8 | 1.55 |
| Horizontal tune Q_x | | 269.139 | 269.124 | 389.129 | 389.108 | |
| Vertical tune Q_y | | 269.219 | 269.199 | 389.199 | 389.175 | |
| Beam-beam ξ_x/ξ_y | | 0.004/0.133 | 0.010/0.113 | 0.016/0.118 | 0.097/0.128 | 0.099/0.126 |
| Allowable e ⁺ e ⁻ charge asymmetry | [%] | ± 5 | ± 3 | | | |
| Lifetime by rad. Bhabha | [min] | 68 | 59 | 38 | 40 | 39 |
| Actual lifetime by BS | [min] | > 200 | > 200 | 18 | 24 | 18 |

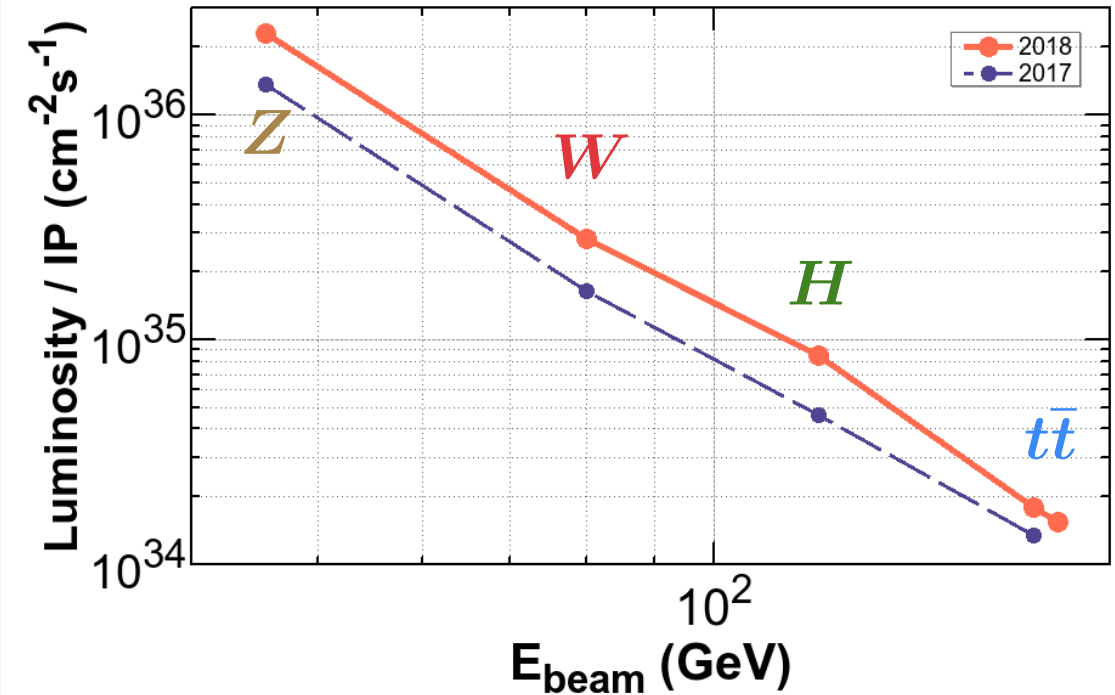


Table 2.10: Peak luminosity per IP, total luminosity per year (two IPs), luminosity target, and run time for each FCC-ee working point.

| Working Point | Luminosity/IP [10 ³⁴ cm ⁻² s ⁻¹] | Tot. lum./year [ab ⁻¹ / year] | Goal [ab ⁻¹] | Run Time [years] |
|-------------------------|---|---|-----------------------------|---------------------|
| Z (first two years) | 100 | 24 | 150 | 4 |
| Z (other years) | 200 | 48 | | |
| W | 25 | 6 | 10 | 2 |
| H | 7.0 | 1.7 | 5 | 3 |
| RF reconfiguration | | | | 1 |
| tt 350 GeV (first year) | 0.8 | 0.19 | 0.2 | 1 |
| tt 365 GeV | 1.5 | 0.34 | 1.5 | 4 |

